

Variations on the Knapsack Generator

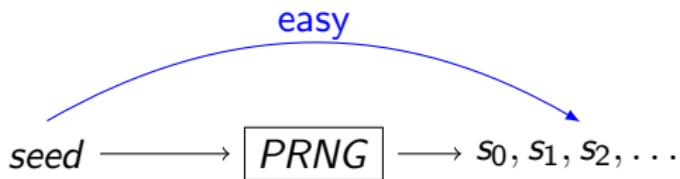
Florette Martinez

ENS-PSL

March 11th







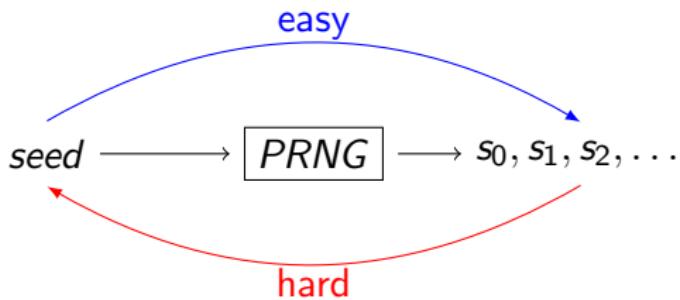


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- ① Definition of the Knapsack Generator
- ② Attacks on the Knapsack Generator
- ③ Generalized Knapsack Generator

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Knapsack Problem

Optimization Problem

 $\leq C$  ω_1, p_1  ω_2, p_2  ω_3, p_3  ω_4, p_4

Knapsack Problem

Optimization Problem



$$\leq C$$



$$\omega_1, p_1$$



$$\omega_2, p_2$$



$$\omega_3, p_3$$



$$\omega_4, p_4$$

Goal: Finding bits u_i

$$\sum_{i=1}^4 u_i \omega_i \leq C \text{ and } \sum_{i=1}^4 u_i p_i \text{ maximal}$$

Subset Sum Problem (SSP)

Guessing Problem



$= C$



ω_1



ω_2



ω_3



ω_4

Subset Sum Problem (SSP)

Guessing Problem



$$= C$$



$$\omega_1$$



$$\omega_2$$



$$\omega_3$$



$$\omega_4$$

Goal: Finding bits u_i

$$\sum_{i=1}^4 u_i \omega_i = C$$

Parameters:

- an integer n
- a vector of weights $\omega = (\omega_0, \dots, \omega_{n-1})$
- a target C
- a modulo M

The goal is finding \mathbf{u} such that

$$\langle \mathbf{u}, \omega \rangle = C \bmod M$$

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The goal is finding \mathbf{u} such that

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The closer M is to 2^n , the harder the problem is. For now $M = 2^n$

Knapsack Generator by Rueppel and Massey¹



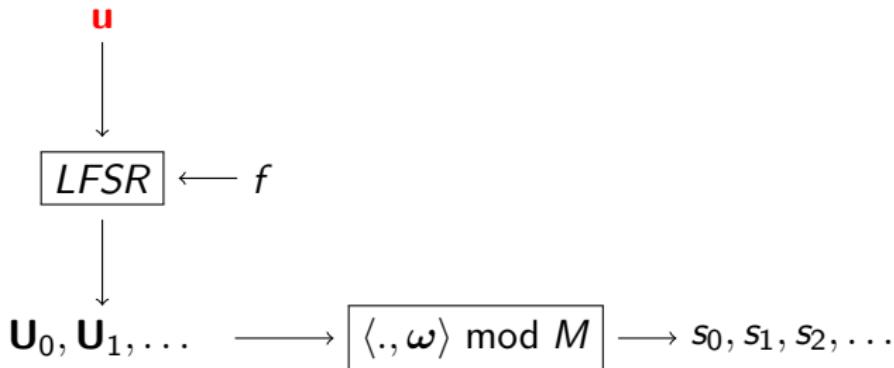
Knapsack Generator by Rueppel and Massey¹

$$\mathbf{u} \longrightarrow \boxed{\langle ., \omega \rangle \bmod M} \longrightarrow s_0, s_1, s_2, \dots$$

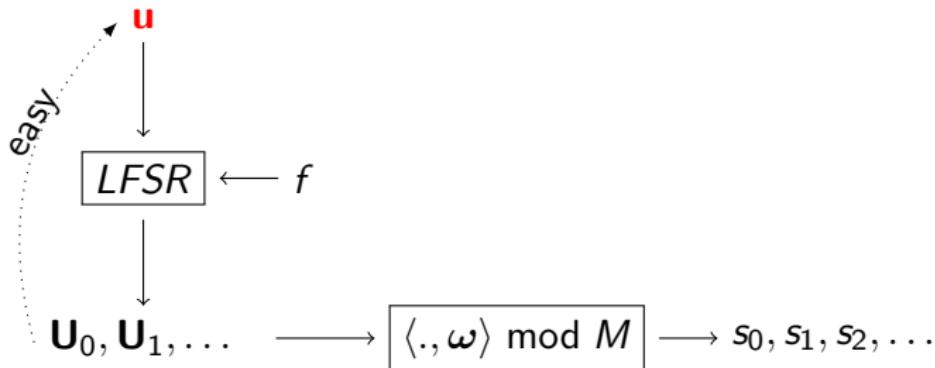
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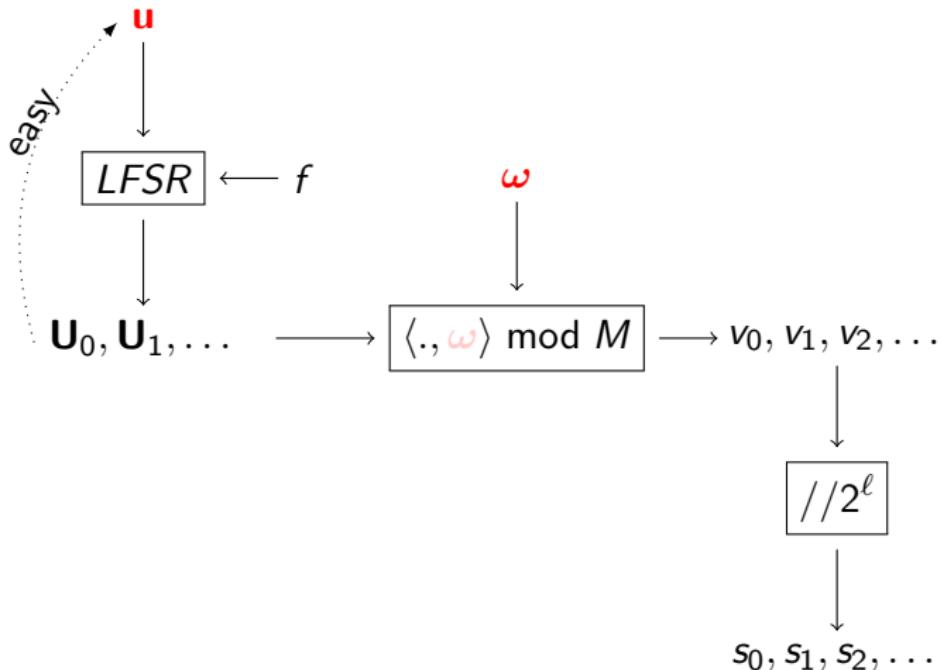
Knapsack Generator by Rueppel and Massey¹



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¹Rueppel, R.A., Massey, J.L.: Knapsack as a nonlinear function. In: IEEE Intern. Symp. of Inform. Theory, vol. 46 (1985)

Formalization of the Knapsack Generator

Public	Secret
n and $\ell \in \mathbb{N}$	$\mathbf{u} \in \{0, 1\}^n$
$f \in \mathbb{F}_2[X_1, \dots, X_n]$	$\omega \in \{0, \dots, 2^n - 1\}^n$

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m is the number of outputs

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m is the number of outputs

Intermediate states	
$(u_i)_{i \geq n}$	$u_{n+i} = f(u_i, \dots, u_{n+i-1})$
$(\mathbf{U}_i)_{0, \dots, m-1}$	$\mathbf{U}_i = (u_i, \dots, u_{n+i-1})$

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$\mathbf{v} = (v_0, \dots, v_{m-1})$	$v_i = \langle \mathbf{U}_i, \omega \rangle \bmod M$

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$\mathbf{v} = (v_0, \dots, v_{m-1})$	$v_i = \langle \mathbf{U}_i, \omega \rangle \bmod M$
$\mathbf{s} = (s_0, \dots, s_{m-1})$	$s_i = v_i // 2^\ell$
$\delta = (\delta_0, \dots, \delta_{m-1})$	$v_i = 2^\ell s_i + \delta_i, \delta _\infty \leq 2^\ell$

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The main flaw


$$n(1 + n) \text{ bits} = \begin{matrix} \text{a small plant} \\ n \text{ bits} \end{matrix} + \begin{matrix} \text{a dumbbell and a kettlebell} \\ n^2 \text{ bits} \end{matrix}$$

$n(1 + n)$ bits

n bits (u)

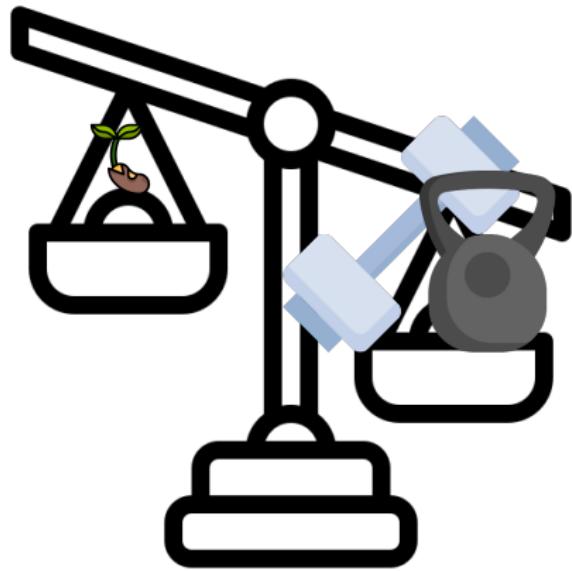
n^2 bits (ω)

The main flaw

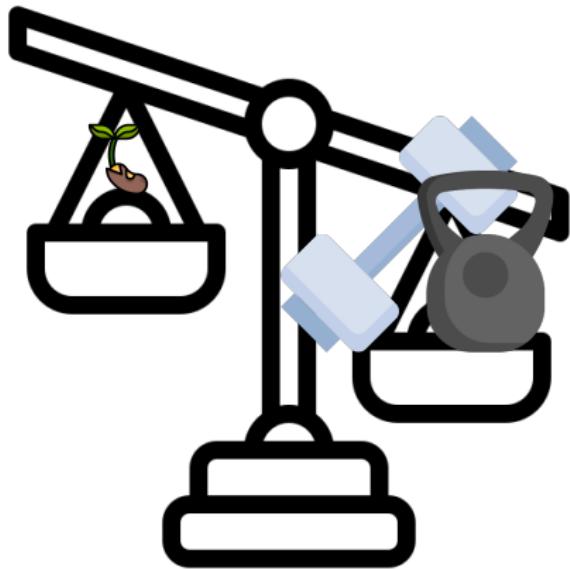


$n(1 + n)$ bits

$$= \begin{matrix} \text{seedling} & (\mathbf{u}) \\ n \text{ bits} \\ \uparrow \\ \text{SMALL} \end{matrix} + \begin{matrix} \text{dumbbell} & (\omega) \\ n^2 \text{ bits} \end{matrix}$$



The secret is unbalanced.



The secret is unbalanced.

For a secret of ~ 1024 bits, the seed (**u**) is only made of 32 bits.

Layout

ApproxWeights($\mathbf{u}, \mathbf{s}(\text{short})$):

???

Return(ω')

Check Consistency ($\mathbf{u}', \omega', \mathbf{s}(\text{long})$):

$\mathbf{s}' = PRNG(\mathbf{u}', \omega')$

Return Boolean(\mathbf{s}' is close to \mathbf{s})

ApproxWeights(\mathbf{u} , $\mathbf{s}(\text{short})$):

???

Return(ω')

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$\mathbf{s}' = PRNG(\mathbf{u}', \omega')$

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Full Attack(\mathbf{s}):

For $\mathbf{u}' \in \{0, 1\}^n$:

$\omega' = \text{ApproxWeights}(\mathbf{u}', \mathbf{s}(\text{short}))$

If Check Consistency(\mathbf{u}' , ω' , $\mathbf{s}(\text{long})$) = True

 Return (\mathbf{u}', ω')

End If

End For

- If $\mathbf{v} = (v_0, \dots, v_{n-1})$, $\|\mathbf{v}\|_\infty = \max_{i \in \{0, \dots, n-1\}} |v_i|$
- If M is a matrix, $\|M\|_\infty = \max_{\|\mathbf{v}\|_\infty=1} \|\mathbf{v}M\|_\infty$

Hence

$$\|\mathbf{v}M\|_\infty \leq \|\mathbf{v}\|_\infty \|M\|_\infty$$

Attack of Knellwolf and Meier²

$$U = \begin{pmatrix} \mathbf{U}_0 \\ \mathbf{U}_1 \\ \dots \\ \mathbf{U}_{m-1} \end{pmatrix}$$

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$$U = \begin{pmatrix} \mathbf{U}_0 \\ \mathbf{U}_1 \\ \dots \\ \mathbf{U}_{m-1} \end{pmatrix}$$
$$\begin{aligned}\omega U &= \mathbf{v} \bmod M \\ &= 2^\ell \mathbf{s} + \boldsymbol{\delta} \bmod M\end{aligned}$$

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$$\begin{aligned}\omega &= \mathbf{v}T \bmod M \\ &= 2^\ell \mathbf{s}T + \boldsymbol{\delta}T \bmod M\end{aligned}$$

$$\omega - 2^\ell \mathbf{s}T = \boldsymbol{\delta}T \bmod M$$

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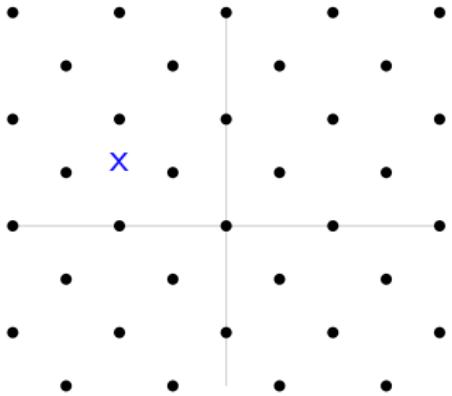
$$\begin{aligned}\omega &= \mathbf{v}T \bmod M \\ &= 2^\ell \mathbf{s}T + \boldsymbol{\delta}T \bmod M\end{aligned}$$

$$\omega - 2^\ell \mathbf{s}T = \boldsymbol{\delta}T \bmod M$$

Goal : Construct small \hat{T} such that $\|\boldsymbol{\delta}\hat{T}\|_\infty < M$

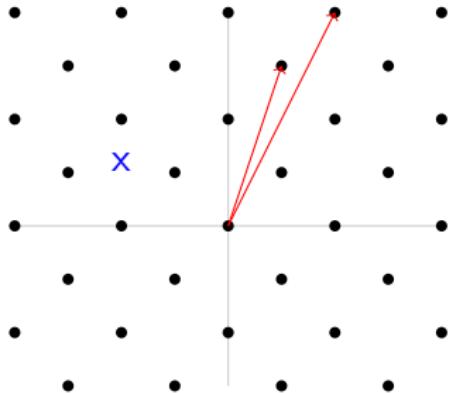
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Lattice Interlude: CVP and Babai Rounding



$$x = (-2, 1.1)$$

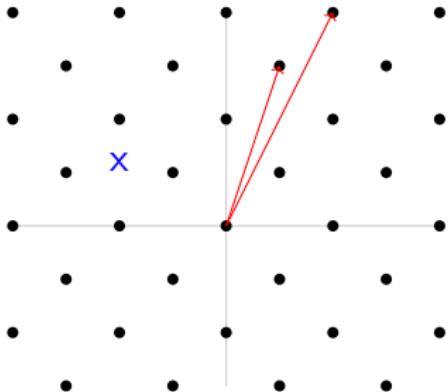
Lattice Interlude: CVP and Babai Rounding



$$x = (-2, 1.1)$$

$$M = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix} \text{ and}$$
$$\mathcal{L} = \{\alpha M \mid \alpha \in \mathbb{Z}^2\}$$

Lattice Interlude: CVP and Babai Rounding

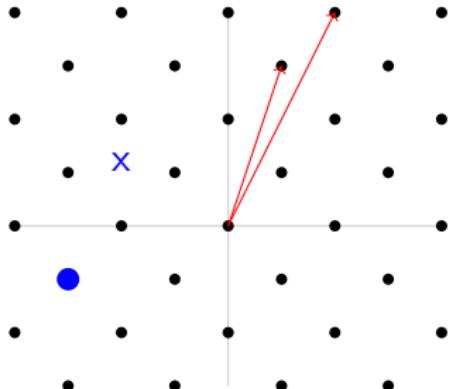


$$x = (-2, 1.1)$$

$$\beta \text{ such that } x = \beta M, \beta = (5.1, -3.55)$$

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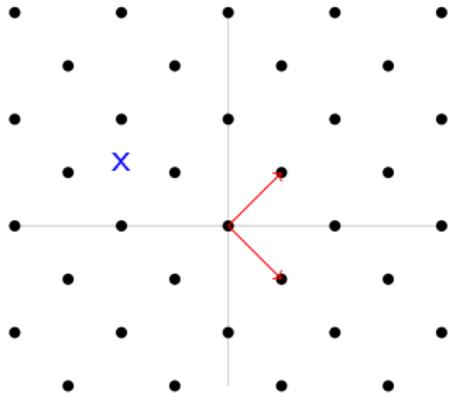
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$$\textcolor{blue}{x} = (-2, 1.1)$$

$$\beta \text{ such that } \textcolor{blue}{x} = \beta M, \beta = (5.1, -3.55)$$

$$\textcolor{blue}{x}' = \lfloor \beta \rceil M = (-3, -1)$$

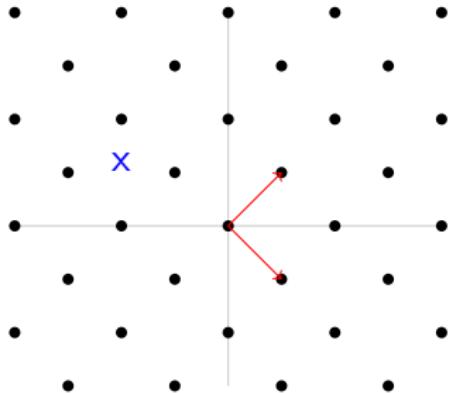
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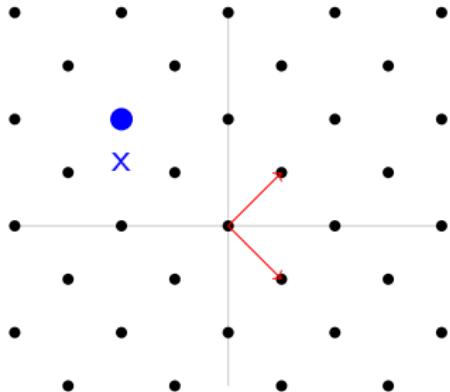


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Lattice Interlude: CVP and Babai Rounding



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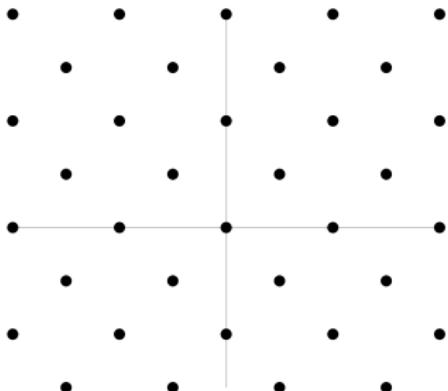
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β such that $\textcolor{blue}{x} = \beta M$, $\beta = (-0.45, -1.55)$

$$\textcolor{blue}{x}' = \lfloor \beta \rceil M = (-2, 2)$$

My variation

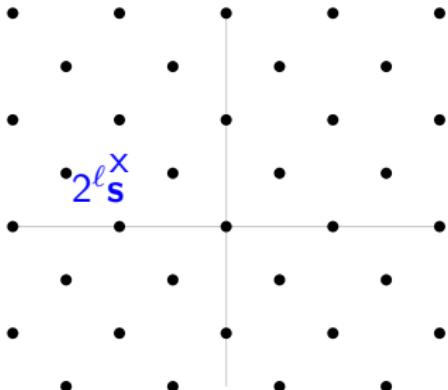
I have $\mathbf{v} = \omega U \bmod M$



$$\mathcal{L} = \{\alpha U \bmod M \mid \alpha \in \mathbb{Z}^n\}$$

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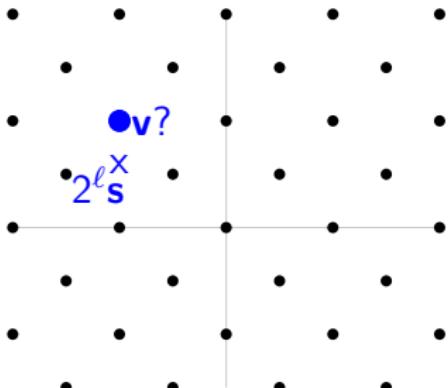
I have $\mathbf{v} = \omega U \bmod M$ and $\mathbf{v} = 2^\ell \mathbf{s} + \boldsymbol{\delta}$ with $\boldsymbol{\delta}$ small



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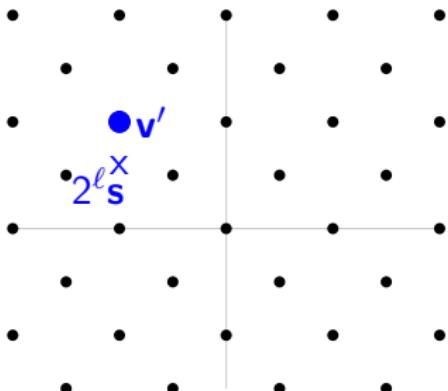
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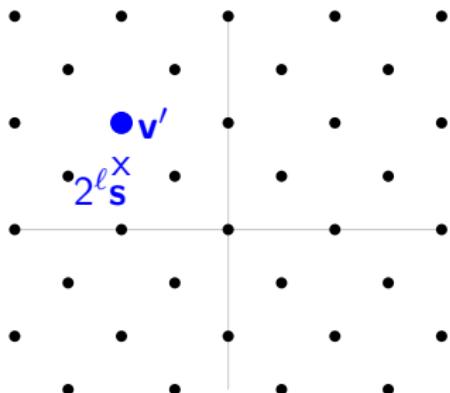


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Failed, this is not \mathbf{v} , we call it \mathbf{v}'

My variation

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$$\mathcal{L} = \{\alpha U \bmod M \mid \alpha \in \mathbb{Z}^n\}$$

We compute ω' as

$$\omega' U = v' \bmod M$$

Why is ω' close to ω ?

Failed, this is not \mathbf{v} , we call it \mathbf{v}'

Why does it work ? First Explanation

$$(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \bmod M$$

Why does it work ? First Explanation

$$(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \bmod M \Leftrightarrow (\omega - \omega') = (\mathbf{v} - \mathbf{v}')\hat{T} \bmod M$$

Why does it work ? First Explanation

$$\begin{aligned}(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \bmod M &\Leftrightarrow (\omega - \omega') = (\mathbf{v} - \mathbf{v}')\hat{T} \bmod M \\ &\Rightarrow \|\omega - \omega'\|_\infty \leq \|\hat{T}\|_\infty \|\mathbf{v} - \mathbf{v}'\|_\infty\end{aligned}$$

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In KW case: $\|\omega - 2^\ell \mathbf{s}\hat{T}\|_\infty \simeq \|\hat{T}\|_\infty \|\boldsymbol{\delta}\|_\infty$

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In KW case: $\|\omega - 2^\ell \mathbf{s}\hat{T}\|_\infty \simeq \|\hat{T}\|_\infty \|\delta\|_\infty$

But in our case $\|\omega - \omega'\|_\infty \ll \|\hat{T}\|_\infty \|\mathbf{v} - \mathbf{v}'\|_\infty$, precisely

$$\|\omega - \omega'\|_\infty \leq \|\mathbf{v} - \mathbf{v}'\|_\infty$$

Why does it work ? Second Explanation

I already have $\|\mathbf{v} - \mathbf{v}'\|_\infty \leq 2^{\ell+1} \Leftarrow \|\omega - \omega'\|_\infty \leq \frac{2^{\ell+1}}{\|U\|_\infty}$ (1)

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$$(\mathbf{v} - \mathbf{v}') \in \mathcal{A} = \mathcal{L} \cap B_{m,\infty}(2^{\ell+1})$$

$$(\omega - \omega') \in \mathcal{B} = \mathbb{Z}^n \cap B_{n,\infty}\left(\frac{2^{\ell+1}}{\|U\|_\infty}\right)$$

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We will show that $|\mathcal{B}| \geq |\mathcal{A}|$

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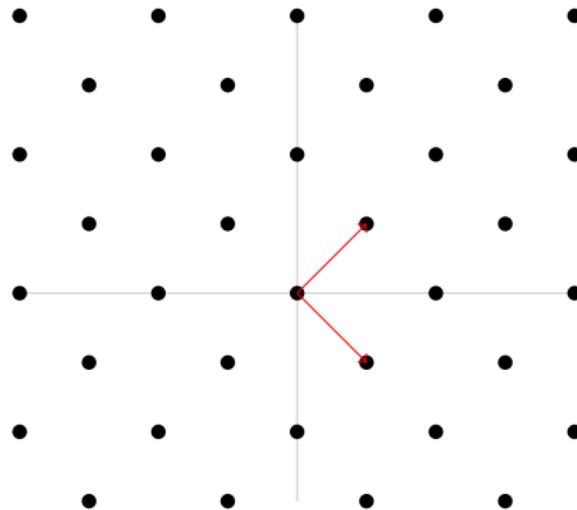
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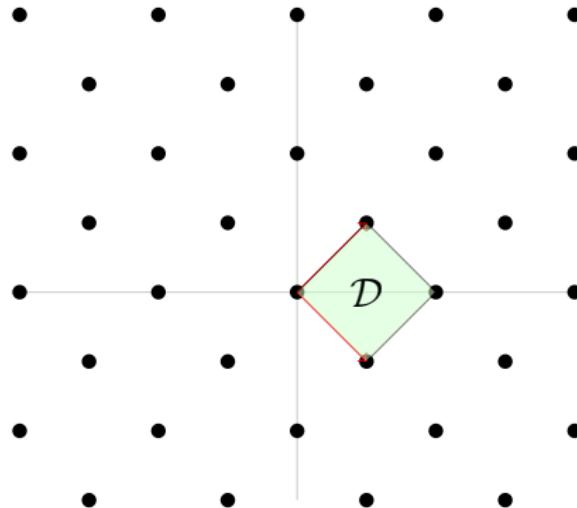
We will show that $|\mathcal{B}| \geq |\mathcal{A}|$

$$|\mathcal{B}| = \left(2 \lfloor \frac{2^{\ell+1}}{\|U\|_\infty} \rfloor - 1\right)^n$$

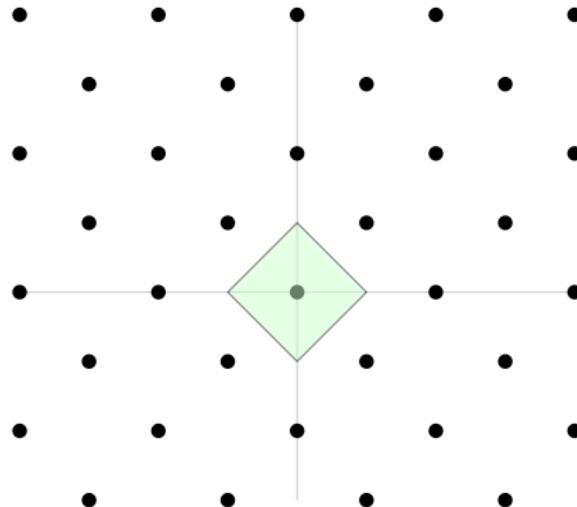
Lattice Interlude n2: Fundamental domain



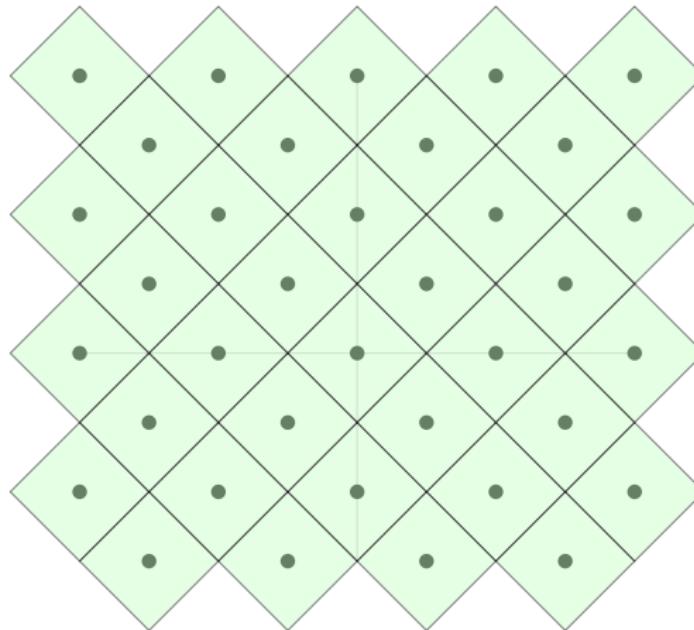
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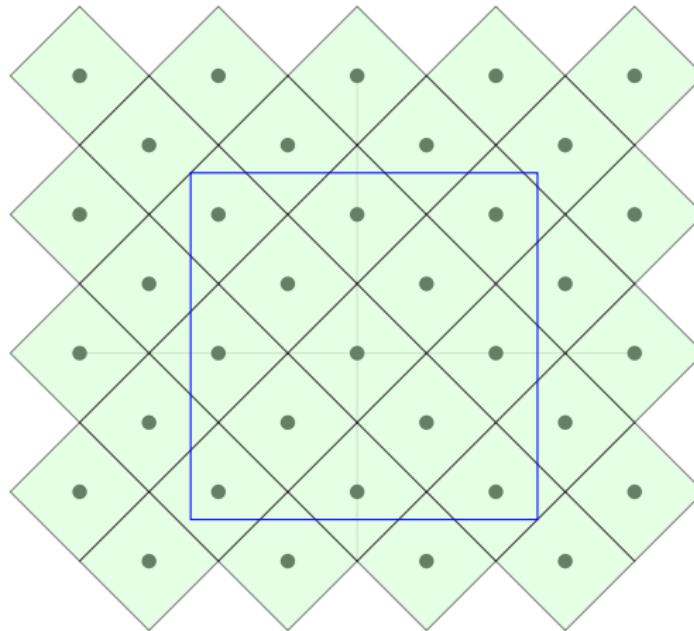
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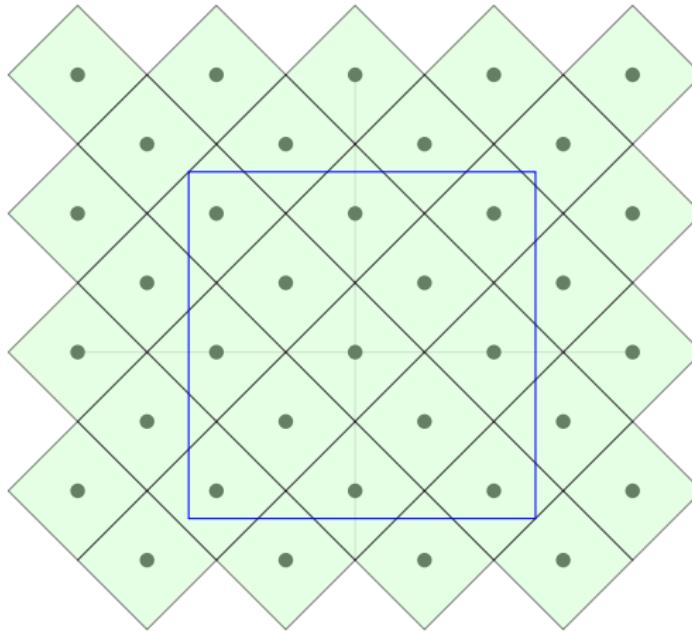
Lattice Interlude n2: Fundamental domain



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Lattice Interlude n2: Fundamental domain



$$\frac{\text{vol}(\text{rectangle})}{\text{vol}(\mathcal{D})} = 12.5 \sim 13$$

$$|\mathcal{B}| = \left(2\lfloor \frac{2^{\ell+1}}{\|U\|_\infty} \rfloor - 1\right)^n$$

$$|\mathcal{A}| \simeq \frac{2^n(2^{\ell+1} - 1)^n}{2^{n-m}}$$

For $n = 32$ and $m = 40$ we obtain $|\mathcal{B}| \geq |\mathcal{A}|$ for $\ell \leq 14$.

End of the attack

$$|\mathcal{B}| = \left(2\lfloor \frac{2^{\ell+1}}{\|U\|_\infty} \rfloor - 1\right)^n$$

$$|\mathcal{A}| \simeq \frac{2^n(2^{\ell+1} - 1)^n}{2^{n-m}}$$

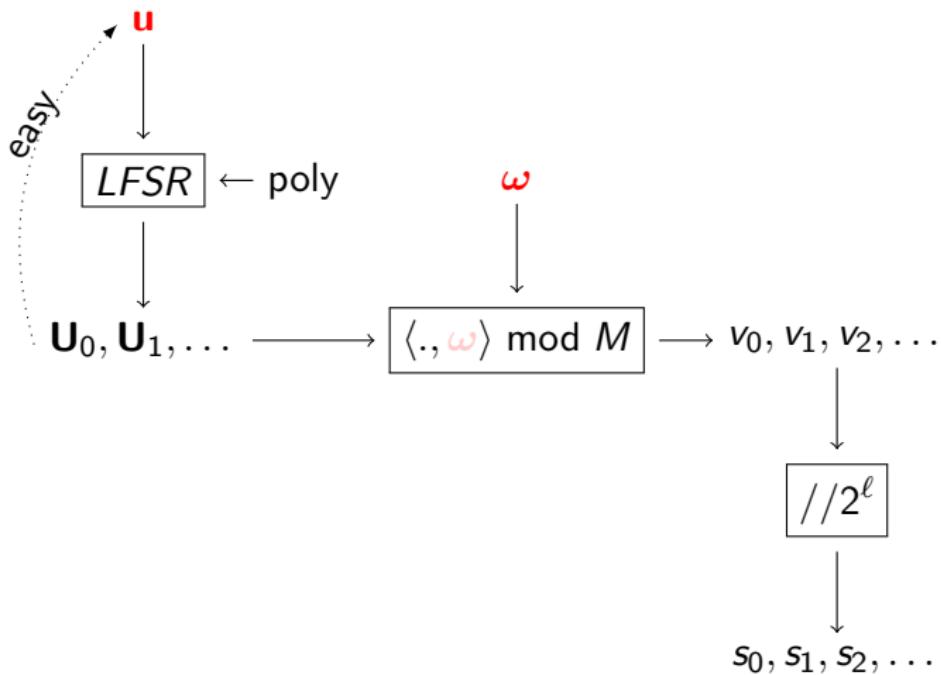
For $n = 32$ and $m = 40$ we obtain $|\mathcal{B}| \geq |\mathcal{A}|$ for $\ell \leq 14$.

ℓ	5	10	15	20	25
$\log_2(\ \omega - 2^\ell \hat{T}\ _\infty)$	9.9	14.9	19.8	24.7	31
$\log_2(\ \omega - \omega'\ _\infty)$	3.6	8.7	13.6	18.7	31

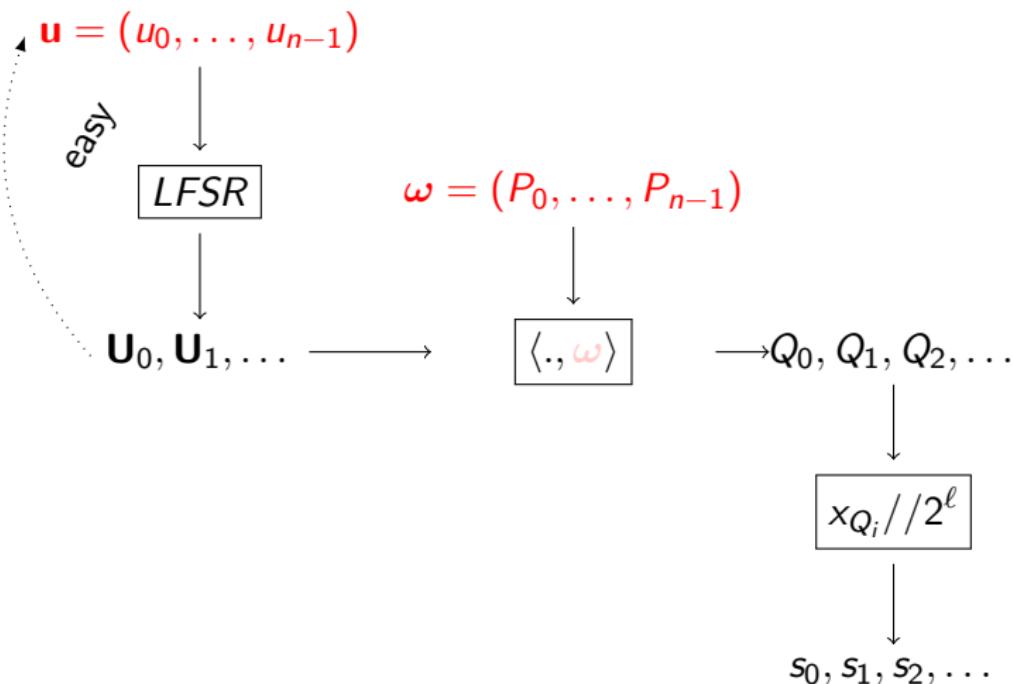
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- ① Definition of the Knapsack Generator
- ② Attacks on the Knapsack Generator
- ③ Generalized Knapsack Generator

Knapsack Generator by Rueppel and Massey



Generalized Knapsack Generator by Von zur Gathen and Shparlinski³



³Von zur Gathen, J., & Shparlinski, I. E. . Predicting subset sum pseudorandom generators. In Selected Areas in Cryptography: 11th International Workshop, SAC 2004.

Formalization of the Generalized Knapsack Generator

Public	Secret
n and $\ell \in \mathbb{N}$ $f \in \mathbb{F}_2[X_1, \dots, X_n]$ \mathcal{E} elliptic curve over \mathbb{F}_p	$\mathbf{u} = (u_0, \dots, u_{n-1}) \in \{0, 1\}^n$ $\omega = (P_0, \dots, P_{n-1}) \in \mathcal{E}^n$

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m is the number of outputs

Intermediate states	
$(u_i)_{i \geq n}$	$u_{n+i} = f(u_i, \dots, u_{n+i-1})$
Q_j	$Q_j = \sum_{i=0}^{n-1} u_{i+j} P_i$
s_i	$s_i = x_{Q_i} / 2^\ell$
δ_i	$x_{Q_i} = 2^\ell s_i + \delta_i, \delta_i \leq 2^\ell$

Elliptic curve Interlude

(x, y) such that $y^2 = x^3 + ax + b \bmod p$

For x_0 :

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For $P = (x_P, y_P)$, $Q = (x_Q, y_Q)$

- $s = \frac{y_P - y_Q}{x_P - x_Q}$
- $x_R = s^2 - x_P - x_Q$
- $y_R = y_P - s(x_P - x_R)$
- $P + Q = -R$

Elliptic curve over \mathbb{F}_p Interlude - part 2

\mathbb{Z}	\mathcal{E}
$ x - x' < 2^\ell$	$ x_P - x_{P'} < 2^\ell$
$ y - y' < 2^\ell$	$ x_Q - x_{Q'} < 2^\ell$

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$\mathbb{P}_{x', y'}((x + y) - (x' + y') < 2^\ell) = \frac{1}{2}$	$= ??$

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- If $P' + Q' = \pm(P + Q)$, $\mathbb{P}_{P', Q'}(|x_{P+Q} - x_{P'+Q'}| < 2^\ell) = 1$
- If $P' + Q' \neq \pm(P + Q)$, $\mathbb{P}_{P', Q'}(|x_{P+Q} - x_{P'+Q'}| < 2^\ell)$
 $= \mathbb{P}_R(|x_{P+Q} - x_R| < 2^\ell) = \frac{2^\ell}{|\mathcal{E}|}$

The problem

The problem

$$(P_0 \ P_1 \ \dots \ P_{n-1}) \times \begin{pmatrix} u_0 & u_1 & \dots & u_{n-1} \\ u_1 & u_2 & \dots & u_n \\ \vdots & & & \\ u_{n-1} & u_n & \dots & u_{2n-2} \end{pmatrix} = \begin{pmatrix} Q_0 \\ Q_1 \\ \vdots \\ Q_{n-1} \end{pmatrix}$$

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Naive attack : Guess \mathbf{u} and δ : $\mathcal{O}(2^n \times 2^{n\ell})$ operations

The problem

$$(P_0 \ P_1 \ \dots \ P_{n-1}) \times \begin{pmatrix} u_{i_1} & u_{i_1+1} & \dots & u_{i_1+n-1} \\ u_{i_2} & u_{i_2+1} & \dots & u_{i_2+n-1} \\ \vdots & \vdots & \ddots & \vdots \\ u_{i_n} & u_{i_n+1} & \dots & u_{i_n+n-1} \end{pmatrix} = \begin{pmatrix} Q_{i_1} \\ Q_{i_2} \\ \vdots \\ Q_{i_n} \end{pmatrix}$$

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I want to go here in less than: $\mathcal{O}(2^n \times 2^{n\ell})$ operations

Two steps:

- Finding $n/2$ “good triplets” i, j, k such that $\mathbf{U}_i + \mathbf{U}_j = \mathbf{U}_k$ (in $\mathbb{Z}!$)
- For each triplet, retrieving Q_i, Q_j by bruteforce.

Bruteforcing Q_i, Q_j

I have 3 points Q_i, Q_j, Q_k that I do not know but I know:

- s_i, s_j, s_k the leading bits of xQ_i, xQ_j, xQ_k
- the relation $Q_i + Q_j = Q_k$

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$$A_i = \{R_i \mid x_{R_i} // 2^\ell = s_i\} \text{ and } A_j = \{R_j \mid x_{R_j} // 2^\ell = s_j\}$$

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$$\mathbb{P}(\exists (R_i, R_j) \in A_1 \times A_2 \mid x_{R_i+R_j} // 2^\ell = s_k \wedge (R_i, R_j) \neq \pm(Q_i, Q_j))$$

$$\simeq |A_i \times A_j| \times \frac{2^\ell}{|\mathcal{E}|} \simeq \frac{2^{3\ell}}{|\mathcal{E}|}$$

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If ℓ small enough, I can bruteforce (Q_i, Q_j) and $(-Q_i, -Q_j)$ out of $A_i \times A_j$ in $\mathcal{O}(2^{2\ell})$ operations using s_k as a filter. They are not distinguishable.

Finding Good Triplets

$(\mathbf{U}_i, \mathbf{U}_j, \mathbf{U}_k) \in \{0, 1\}^n$, if $n = 1$:

\mathbf{U}_i	0	0	0	0	1	1	1	1
\mathbf{U}_j	0	0	1	1	0	0	1	1
\mathbf{U}_k	0	1	0	1	0	1	0	1
$\mathbf{U}_i + \mathbf{U}_j$	0	0	1	1	1	1	2	2

$$\mathbb{P}(\mathbf{U}_i + \mathbf{U}_j = \mathbf{U}_k) = 3/8$$

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If $n \geq 1$, $\mathbb{P}(\mathbf{U}_i + \mathbf{U}_j = \mathbf{U}_k) = (3/8)^n$

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$\mathbf{U}_i \in A$, $\mathbf{U}_j \in B$, $\mathbf{U}_k \in C$, $|A| = |B| = |C| = N$

$$\mathbb{E}(\text{ good triplets }) = N^3 \left(\frac{3}{8}\right)^n$$

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For now $N \simeq \left(\frac{8}{3}\right)^{n/3}$

A Sub-Quadratic Algorithm

The good triplets have a bias as $w(\mathbf{U}_k) = w(\mathbf{U}_i) + w(\mathbf{U}_j)$.

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A Sub-Quadratic Algorithm

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```
1: function FINDTRIPLET( $A, B, C, \epsilon$ )
2:    $A' \leftarrow \{\mathbf{U}_i \in A \mid w(\mathbf{U}_i) \leq n/3 + \epsilon\}$ 
3:    $B' \leftarrow \{\mathbf{U}_j \in B \mid w(\mathbf{U}_j) \leq n/3 + \epsilon\}$ 
4:   for all  $\mathbf{U}_i, \mathbf{U}_j \in A' \times B'$  do
5:     if  $\mathbf{U}_i + \mathbf{U}_j \in C$  then
6:       return  $(\mathbf{U}_i, \mathbf{U}_j, \mathbf{U}_k)$ 
7:   return  $\perp$ 
```

For $\epsilon = 1/6$, the algorithm succeed with overwhelming probability in time $\mathcal{O}(N^{1.654}) \simeq \mathcal{O}(2^{0.78n})$.

For all (u_0, \dots, u_{n-1}) in $\{0, 1\}^n$:

- derive all the \mathbf{U}_i and find $n/2$ good triplets in $\mathcal{O}(2^{0.78n})$
- for each good triplet derive (Q_i, Q_j) and $(-Q_i, -Q_j)$ in $\mathcal{O}(2^{2\ell})$
- derive the P_i 's for the $2^{n/2-1}$ possible signs combinations
- check consistency

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- check consistency

The complexity is

$$\mathcal{O}(2^n \times (2^{0.78n} + (n/2 \times 2^{2\ell}) + 2^{n/2-1}))$$

that is to say $\mathcal{O}(2^{1.78n})$ binary operations (with $\ell = \log_2(n)$).

Experimental results

When $n = 16$ and the initial sequence (u_0, \dots, u_{n-1}) is known.

- When $|\mathcal{E}| = 65111$.

ℓ	1	2	3	4	5	6
m	1000	1000	1000	1000	1000	1885
time	6.9s	5.3s	5.6s	5.02s	5.7s	26.7s

- When $|\mathcal{E}| = 1099510687747$.

ℓ	1	2	3	4	5	6	7	8	9
m	1885	1885	1885	1885	1885	1885	1885	1885	1750
time	2.1s	2.1s	2.08s	2.5s	2.6s	2.1s	3.5s	8.3s	26.7s