

# Sampling relatively factorizable elements in ideals of number fields

Alice Pellet-Mary

CNRS, universit  de Bordeaux

S minaire Caramba, Nancy

Joint work with Koen de Boer, L o Ducas and Benjamin Wesolowski

# Outline of the talk

- 1 Definitions and problem statement
- 2 Our result
- 3 Application: computing units

# Outline of the talk

1 Definitions and problem statement

2 Our result

3 Application: computing units

# Number fields

- $K$  number field
- $R$  its ring of integers
- $n$  its degree
  - ▶  $n = 512$
  - ▶  $K = \mathbb{Q}[X]/(X^n + 1)$
  - ▶  $R = \mathbb{Z}[X]/(X^n + 1)$

# Number fields

- $K$  number field
- $R$  its ring of integers
- $n$  its degree
  - ▶  $n = 512$
  - ▶  $K = \mathbb{Q}[X]/(X^n + 1)$
  - ▶  $R = \mathbb{Z}[X]/(X^n + 1)$
- $n$  measures the “bit-size” of  $K$   
(assume  $\log |\Delta_K| \approx n$  for the talk)
  - ▶ efficient algorithm  $\Leftrightarrow \text{poly}(n)$

## Ideals and units

- Units:  $R^\times = \{a \in R \mid \exists b \in R, ab = 1\}$ 
  - ▶ e.g.  $\mathbb{Z}^\times = \{-1, 1\}$

# Ideals and units

- **Units:**  $R^\times = \{a \in R \mid \exists b \in R, ab = 1\}$ 
  - ▶ e.g.  $\mathbb{Z}^\times = \{-1, 1\}$
- **Principal ideals:**  $\langle g \rangle := \{gr \mid r \in R\}$  (i.e. all multiples of  $g$ )
  - ▶ e.g.  $\langle 2 \rangle = \{\text{even numbers}\}$ ;  $\langle 1/2 \rangle = \{r/2, r \in \mathbb{Z}\}$
  - ▶  $g$  is called a **generator** of  $\langle g \rangle$
  - ▶ The generators of  $\langle g \rangle$  are exactly the  $ug$  for  $u \in R^\times$

## Ideals and units

- **Units:**  $R^\times = \{a \in R \mid \exists b \in R, ab = 1\}$ 
  - ▶ e.g.  $\mathbb{Z}^\times = \{-1, 1\}$
- **Principal ideals:**  $\langle g \rangle := \{gr \mid r \in R\}$  (i.e. all multiples of  $g$ )
  - ▶ e.g.  $\langle 2 \rangle = \{\text{even numbers}\}$ ;  $\langle 1/2 \rangle = \{r/2, r \in \mathbb{Z}\}$
  - ▶  $g$  is called a **generator** of  $\langle g \rangle$
  - ▶ The generators of  $\langle g \rangle$  are exactly the  $ug$  for  $u \in R^\times$

### Representation

An ideal  $I = \langle g \rangle$  is represented by a **basis**  $x_1, \dots, x_n \in I$  such that

$$I = \left\{ \sum_i n_i \cdot x_i, n_i \in \mathbb{Z} \right\}.$$

( $I$  is **not** represented by  $g$ )



# Properties of ideals

## Properties:

- $I \cdot J (\langle g \rangle \cdot \langle h \rangle = \langle gh \rangle)$  ,  $I^{-1} (\langle g \rangle^{-1} = \langle g^{-1} \rangle)$  ,  $\langle 1 \rangle = R$

# Properties of ideals

## Properties:

- $I \cdot J (\langle g \rangle \cdot \langle h \rangle = \langle gh \rangle)$  ,  $I^{-1} (\langle g \rangle^{-1} = \langle g^{-1} \rangle)$  ,  $\langle 1 \rangle = R$
- notion of prime ideals  $\mathfrak{p} \subseteq R$
- unique factorization:  $I = \prod_i \mathfrak{p}_i^{\alpha_i}$  ,  $\alpha_i \in \mathbb{Z}$

# Properties of ideals

## Properties:

- $I \cdot J$  ( $\langle g \rangle \cdot \langle h \rangle = \langle gh \rangle$ ) ,  $I^{-1}$  ( $\langle g \rangle^{-1} = \langle g^{-1} \rangle$ ) ,  $\langle 1 \rangle = R$
- notion of prime ideals  $\mathfrak{p} \subseteq R$
- unique factorization:  $I = \prod_i \mathfrak{p}_i^{\alpha_i}$ ,  $\alpha_i \in \mathbb{Z}$

## Algebraic norm:

- $\mathcal{N}(I) \in \mathbb{R}_+$  measures the “size” of  $I$  ( $\approx$  absolute value)
- $\mathcal{N}(IJ) = \mathcal{N}(I) \cdot \mathcal{N}(J)$ ,  $\mathcal{N}(I^{-1}) = \mathcal{N}(I)^{-1}$ ,  $\mathcal{N}(R) = 1$
- if  $I \subset R$ , then  $\mathcal{N}(I) \in \mathbb{Z}$ .

# Properties of ideals

## Properties:

- $I \cdot J$  ( $\langle g \rangle \cdot \langle h \rangle = \langle gh \rangle$ ) ,  $I^{-1}$  ( $\langle g \rangle^{-1} = \langle g^{-1} \rangle$ ) ,  $\langle 1 \rangle = R$
- notion of prime ideals  $\mathfrak{p} \subseteq R$
- unique factorization:  $I = \prod_i \mathfrak{p}_i^{\alpha_i}$ ,  $\alpha_i \in \mathbb{Z}$

## Algebraic norm:

- $\mathcal{N}(I) \in \mathbb{R}_+$  measures the “size” of  $I$  ( $\approx$  absolute value)
- $\mathcal{N}(IJ) = \mathcal{N}(I) \cdot \mathcal{N}(J)$ ,  $\mathcal{N}(I^{-1}) = \mathcal{N}(I)^{-1}$ ,  $\mathcal{N}(R) = 1$
- if  $I \subset R$ , then  $\mathcal{N}(I) \in \mathbb{Z}$ .

## Computational problems:

- $I \cdot J$ ,  $I^{-1}$ ,  $\gcd(I, J)$ ,  $\mathcal{N}(I) \Rightarrow$  poly( $n$ )
- recovering a generator  $g$  of  $I \Rightarrow$  poly( $n$ ) quantum or  $L_{2/3}(n)$  classical
- computing the units  $R^\times \Rightarrow$  poly( $n$ ) quantum or  $L_{2/3}(n)$  classical

## Problem statement

Let  $\mathcal{S} \subseteq \{I \text{ ideal}\}$ . E.g.,

- $B$ -smooth ideals:  $\mathcal{S} = \{I = \prod_i \mathfrak{p}_i^{\alpha_i} \mid \mathcal{N}(\mathfrak{p}_i) \leq B\}$
- near-prime ideals:  $\mathcal{S} = \{I = \mathfrak{p}_0 \cdot \prod_{i \geq 1} \mathfrak{p}_i^{\alpha_i} \mid \mathfrak{p}_0 \text{ prime, } \mathcal{N}(\mathfrak{p}_i) \leq B\}$
- co-prime with  $I_0$ :  $\mathcal{S} = \{I \mid \gcd(I, I_0) = \langle 1 \rangle\}$

## Problem statement

Let  $\mathcal{S} \subseteq \{I \text{ ideal}\}$ . E.g.,

- $B$ -smooth ideals:  $\mathcal{S} = \{I = \prod_i \mathfrak{p}_i^{\alpha_i} \mid \mathcal{N}(\mathfrak{p}_i) \leq B\}$
- near-prime ideals:  $\mathcal{S} = \{I = \mathfrak{p}_0 \cdot \prod_{i \geq 1} \mathfrak{p}_i^{\alpha_i} \mid \mathfrak{p}_0 \text{ prime, } \mathcal{N}(\mathfrak{p}_i) \leq B\}$
- co-prime with  $I_0$ :  $\mathcal{S} = \{I \mid \gcd(I, I_0) = \langle 1 \rangle\}$

### Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

# Problem statement

Let  $\mathcal{S} \subseteq \{I \text{ ideal}\}$ . E.g.,

- $B$ -smooth ideals:  $\mathcal{S} = \{I = \prod_i \mathfrak{p}_i^{\alpha_i} \mid \mathcal{N}(\mathfrak{p}_i) \leq B\}$ 
  - ▶ [BF14], heuristic
- near-prime ideals:  $\mathcal{S} = \{I = \mathfrak{p}_0 \cdot \prod_{i \geq 1} \mathfrak{p}_i^{\alpha_i} \mid \mathfrak{p}_0 \text{ prime, } \mathcal{N}(\mathfrak{p}_i) \leq B\}$ 
  - ▶ [BP17], heuristic
- co-prime with  $I_0$ :  $\mathcal{S} = \{I \mid \gcd(I, I_0) = \langle 1 \rangle\}$ 
  - ▶ [RSW18], proven

## Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

---

[BF14] Biase and Fieker. Subexponential class group and unit group computation in large degree number Fields.

LMS Journal of Computation and Mathematics.

[BP17] de Boer and Pagano. Calculating the power residue symbol and ibeta. ISSAC.

[RSW18] Rosca, Stehlé and Wallet. On the Ring-LWE and Polynomial-LWE problems. Eurocrypt.

## Our result

### Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .



## Our result

### Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

### Theorem

For some sets  $\mathcal{S}$ , we can solve Problem ★ **provably** (i.e., without heuristics) in  $\text{poly}(n)$  time.

## Our result

### Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

### Theorem

For some sets  $\mathcal{S}$ , we can solve Problem ★ **provably** (i.e., without heuristics) in  $\text{poly}(n)$  time.

$\mathcal{S}$  should satisfy

- a random ideal  $I$  is in  $\mathcal{S}$  with non-negligible probability
- for some  $B = \text{poly}(n)$ , if  $I \in \mathcal{S}$ , then  $I \cdot J \in \mathcal{S}$  for any  $J$  that is  $B$ -smooth

# Outline of the talk

1 Definitions and problem statement

2 Our result

3 Application: computing units

## General idea

### Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

Wlog, assume  $\mathcal{N}(I) = 1$

## General idea

### Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

Wlog, assume  $\mathcal{N}(I) = 1$

### Algorithm:

- ▶ Sample  $x \leftarrow I$  randomly
- ▶ Repeat until  $x \cdot I^{-1} \in \mathcal{S}$

# General idea

## Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

Wlog, assume  $\mathcal{N}(I) = 1$

## Algorithm:

- ▶ Sample  $x \leftarrow I$  randomly
- ▶ Repeat until  $x \cdot I^{-1} \in \mathcal{S}$

## Heuristic:

$$\Pr_{x \leftarrow I}(x \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n],$$

$\delta_{\mathcal{S}}[\beta^n] = \frac{|\mathcal{S} \cap \{I \mid \mathcal{N}(I) \leq \beta^n\}|}{|\{I \mid \mathcal{N}(I) \leq \beta^n\}|}$  is the **density** of  $\mathcal{S}$  (among ideals of norm  $\leq \beta^n$ )

# General idea

## Recall: Problem ★

Given an ideal  $I = \langle g \rangle$ , find  $x = gr \in I$  such that  $\langle x \rangle \cdot I^{-1} = \langle r \rangle \in \mathcal{S}$ .

Wlog, assume  $\mathcal{N}(I) = 1$

## Algorithm:

- ▶ Sample  $x \leftarrow I$  randomly
- ▶ Repeat until  $x \cdot I^{-1} \in \mathcal{S}$

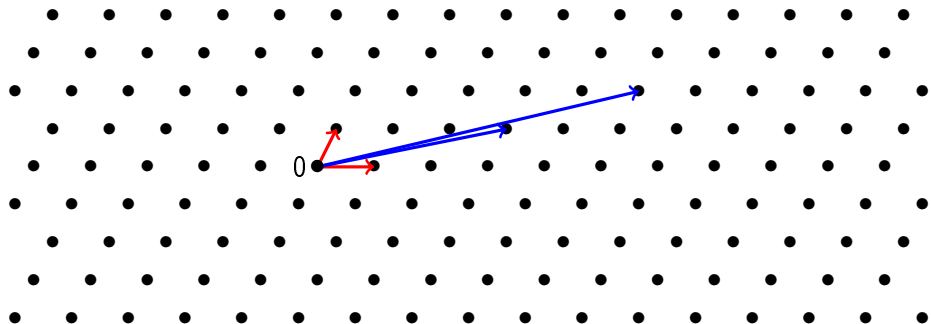
## Heuristic:

$$\Pr_{x \leftarrow I}(x \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n],$$

$\delta_{\mathcal{S}}[\beta^n] = \frac{|\mathcal{S} \cap \{I \mid \mathcal{N}(I) \leq \beta^n\}|}{|\{I \mid \mathcal{N}(I) \leq \beta^n\}|}$  is the **density** of  $\mathcal{S}$  (among ideals of norm  $\leq \beta^n$ )

**Objective:** prove the heuristic

# Lattices



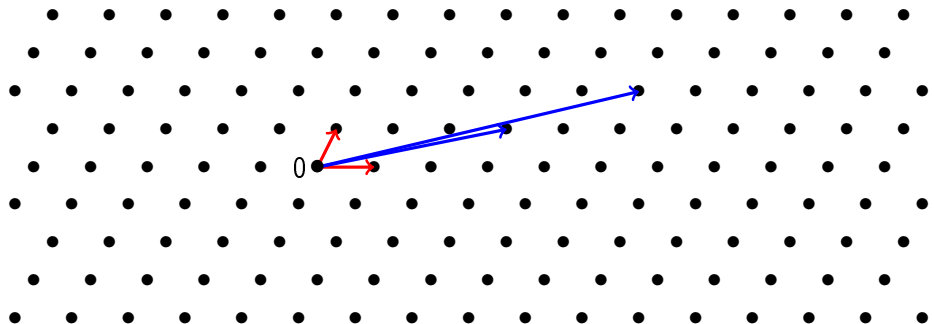
## Lattice

A lattice  $L$  is a subset of  $\mathbb{R}^n$  of the form  $L = \{Bx \mid x \in \mathbb{Z}^n\}$ , with  $B \in \mathbb{R}^{n \times n}$  invertible.  $B$  is a **basis** of  $L$ , and  $n$  is its **rank**.

$\begin{pmatrix} 3 & 1 \\ 0 & 2 \end{pmatrix}$  and  $\begin{pmatrix} 17 & 11 \\ 4 & 2 \end{pmatrix}$  are two bases of the above lattice.



# Lattices



## Lattice

A lattice  $L$  is a subset of  $\mathbb{R}^n$  of the form  $L = \{Bx \mid x \in \mathbb{Z}^n\}$ , with  $B \in \mathbb{R}^{n \times n}$  invertible.  $B$  is a **basis** of  $L$ , and  $n$  is its **rank**.

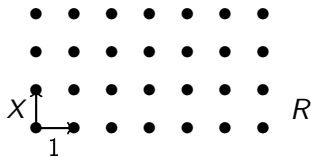
We represent a lattice by **any** of its basis

# Ideals are lattices

$$R \simeq \mathbb{Z}^n$$

$$R = \mathbb{Z}[X]/(X^n + 1) \rightarrow \mathbb{Z}^n$$
$$r = r_0 + r_1X + \cdots + r_{n-1}X^{n-1} \mapsto (r_0, r_1, \dots, r_{n-1})$$

(in fact, we actually use Minkowski's embedding)





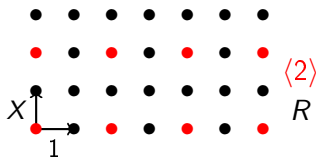
# Ideals are lattices

$$R \simeq \mathbb{Z}^n$$

$$R = \mathbb{Z}[X]/(X^n + 1) \rightarrow \mathbb{Z}^n$$
$$r = r_0 + r_1X + \cdots + r_{n-1}X^{n-1} \mapsto (r_0, r_1, \dots, r_{n-1})$$

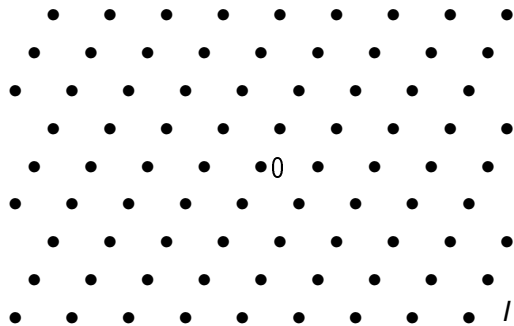
(in fact, we actually use Minkowski's embedding)

$$\left\{ \begin{array}{l} \langle g \rangle \subseteq R \simeq \mathbb{Z}^n \\ \text{stable by '+' and '-'} \end{array} \right. \Rightarrow \text{lattice}$$

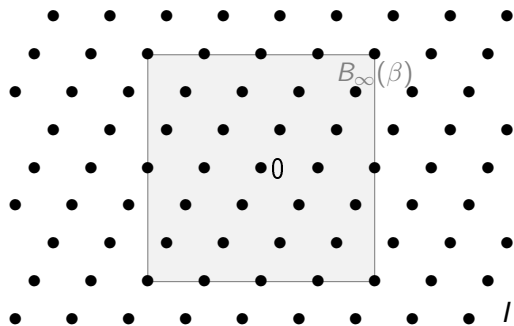


**Conclusion:** we have an embedding  $K \rightarrow \mathbb{R}^n$  that maps ideals to lattices

## Sampling $x$ in $I$



## Sampling $x$ in $I$

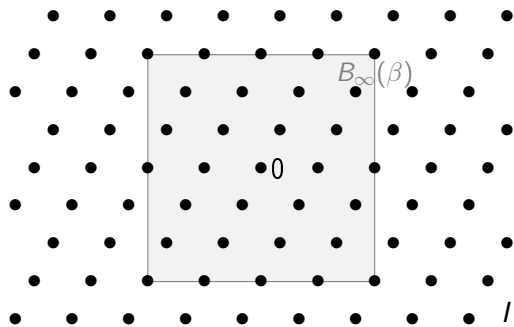


Distribution  $\mathcal{D}_I$ :

$$x \leftarrow \text{Uniform}(I \cap B_\infty(\beta))$$

(previous works usually used  
Gaussian distributions)

## Sampling $x$ in $I$



Distribution  $\mathcal{D}_I$ :

$$x \leftarrow \text{Uniform}(I \cap B_\infty(\beta))$$

(previous works usually used  
Gaussian distributions)

Efficiency: polynomial time if

$$\beta \geq 2^n$$

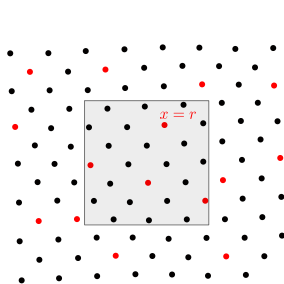
## Proving the heuristic

Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$

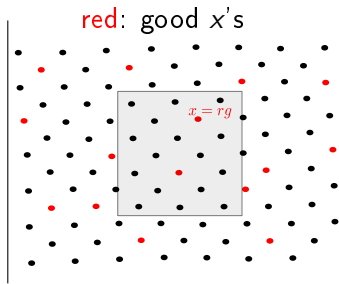


# Proving the heuristic

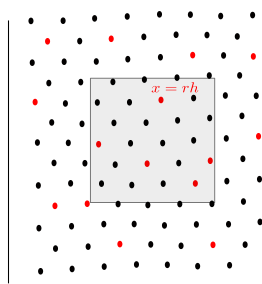
Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$



$$I = R$$



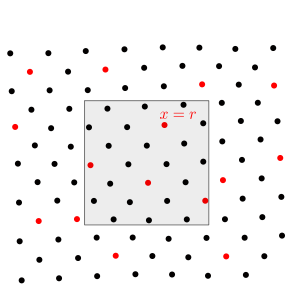
$$I = \langle g \rangle$$



$$I = \langle h \rangle$$

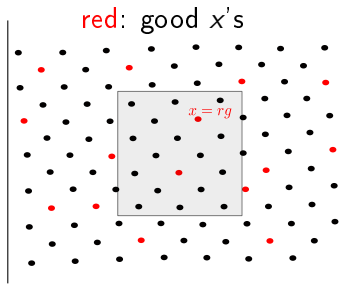
# Proving the heuristic

Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$



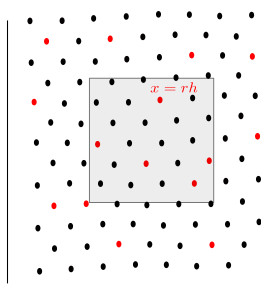
$$I = R$$

►  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$



$$I = \langle g \rangle$$

►  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$

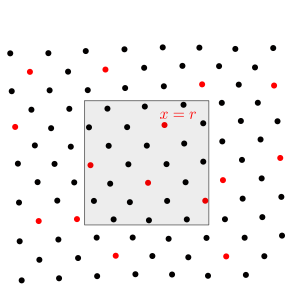


$$I = \langle h \rangle$$

►  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$

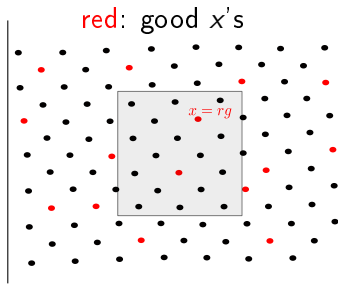
# Proving the heuristic

Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$



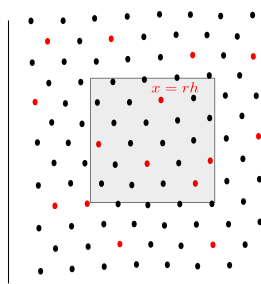
$$I = R$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 4/24 = 1/6$



$$I = \langle g \rangle$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 2/18 = 1/9$

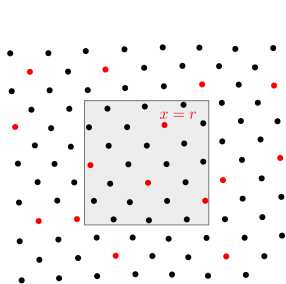


$$I = \langle h \rangle$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 5/23$

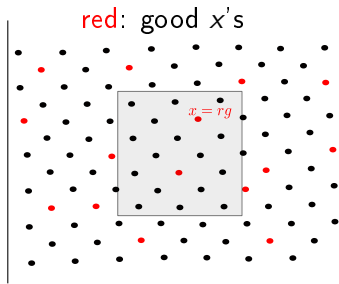
# Proving the heuristic

Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$



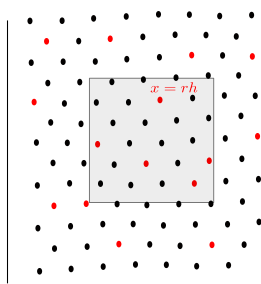
$$I = R$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 4/24 = 1/6$



$$I = \langle g \rangle$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 2/18 = 1/9$



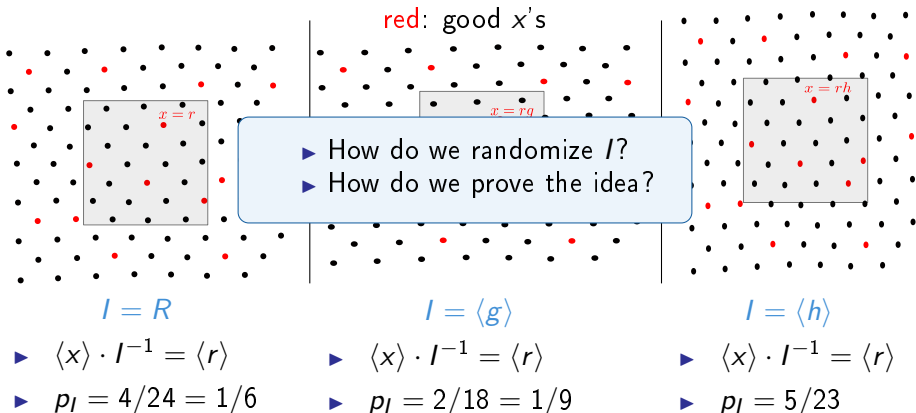
$$I = \langle h \rangle$$

- ▶  $\langle x \rangle \cdot I^{-1} = \langle r \rangle$
- ▶  $p_I = 5/23$

Idea: Randomize the ideal  $I \Rightarrow \Pr_{\substack{I \text{ random} \\ x \leftarrow \mathcal{D}_I}} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$

# Proving the heuristic

Objective:  $p_I := \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$



Idea: Randomize the ideal  $I \Rightarrow \Pr_{x \leftarrow \mathcal{D}_I} (\langle x \rangle \cdot I^{-1} \in \mathcal{S}) \approx \delta_{\mathcal{S}}[\beta^n]$

## A tool: the Log space

$$\text{Log} : K \rightarrow \mathbb{R}^n$$

$$x \mapsto (\log |x_1|, \dots, \log |x_n|)$$

# A tool: the Log space

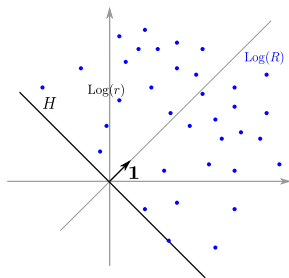
$$\text{Log} : K \rightarrow \mathbb{R}^n$$

$$x \mapsto (\log |x_1|, \dots, \log |x_n|)$$

## Properties

For all  $r \in R$  and  $x \in K$

- $\sum_i (\text{Log}(r))_i \geq 0$



# A tool: the Log space

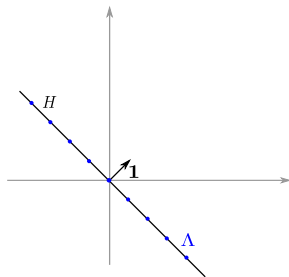
$$\text{Log} : K \rightarrow \mathbb{R}^n$$

$$x \mapsto (\log |x_1|, \dots, \log |x_n|)$$

## Properties

For all  $r \in R$  and  $x \in K$

- $\sum_i (\text{Log}(r))_i \geq 0$
- $\sum_i (\text{Log}(r))_i = 0$  iff  $r$  is a unit
- $\Lambda := \text{Log}(R^\times)$  is a lattice





# A tool: the Log space

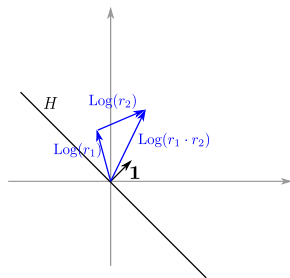
$$\text{Log} : K \rightarrow \mathbb{R}^n$$

$$x \mapsto (\log |x_1|, \dots, \log |x_n|)$$

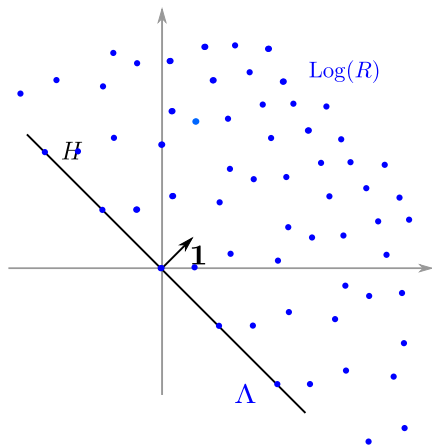
## Properties

For all  $r \in R$  and  $x \in K$

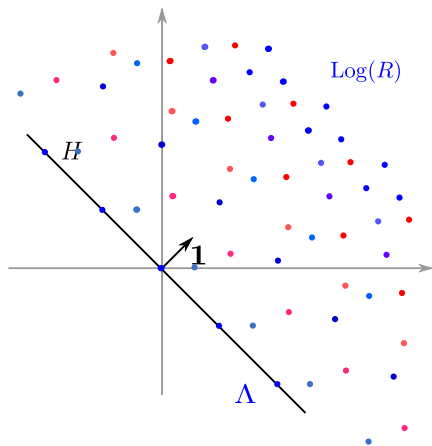
- $\sum_i (\text{Log}(r))_i \geq 0$
- $\sum_i (\text{Log}(r))_i = 0$  iff  $r$  is a unit
- $\Lambda := \text{Log}(R^\times)$  is a lattice
- $\text{Log}(r_1 \cdot r_2) = \text{Log}(r_1) + \text{Log}(r_2)$



# What happens in the Log space

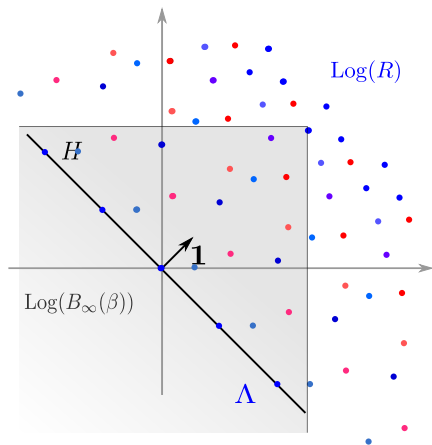


# What happens in the Log space



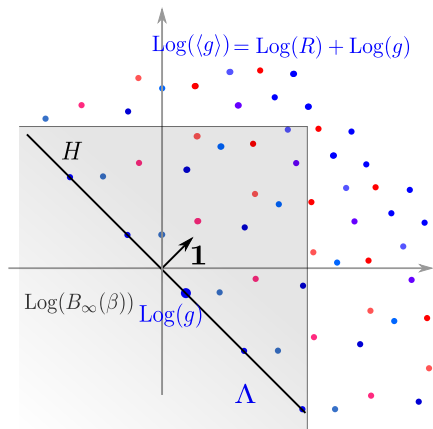
- ▶ red ●: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )

# What happens in the Log space



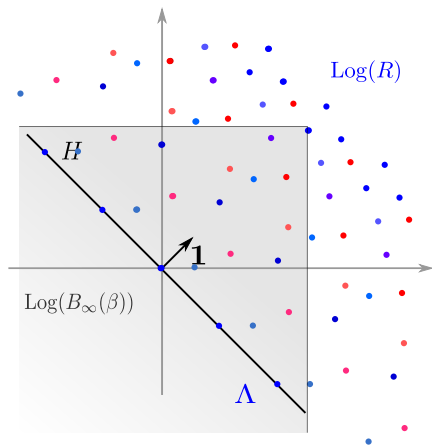
- ▶ red ●: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$

# What happens in the Log space



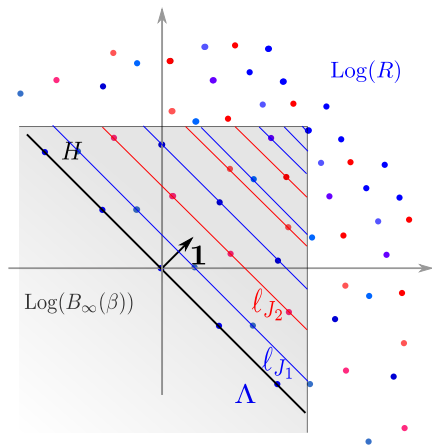
- ▶ red ●: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$

# What happens in the Log space



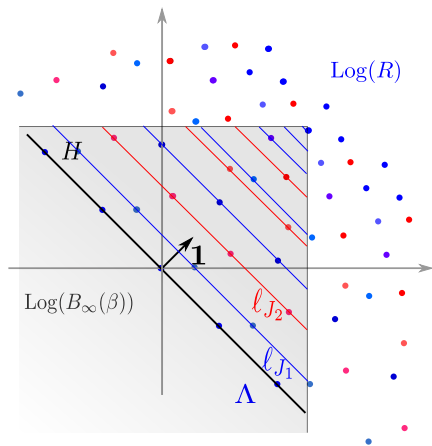
- ▶ red ●: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$

# What happens in the Log space



- ▶ **red ●**: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$
- ▶  $\ell_J$ : length of line corresponding to  $J$

# What happens in the Log space



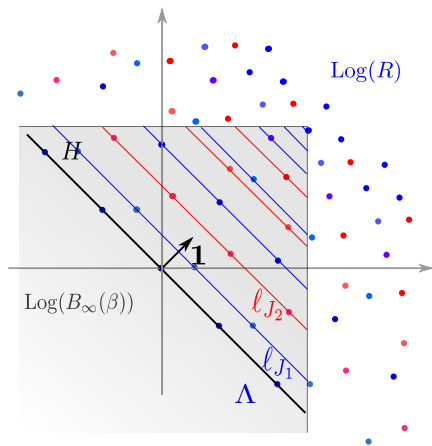
- ▶ red  $\bullet$ : good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$
- ▶  $\ell_J$ : length of line corresponding to  $J$

$$\Pr_{\substack{g \leftarrow \mathcal{U}(H \bmod \Lambda) \\ x \leftarrow \mathcal{D}_{\langle g \rangle}}} (\langle x \cdot g^{-1} \rangle \in \mathcal{S}) = \frac{\sum_{\text{good } J} \ell_J}{\sum_{\text{all } J} \ell_J}$$

$$\delta_{\mathcal{S}}[\beta^n] = \frac{\# \text{ good } J}{\# \text{ all } J}$$



# What happens in the Log space



- ▶ red ●: good  $r$ 's  
(i.e.  $\langle r \rangle \in \mathcal{S}$ )
- ▶  $\text{Log}(B_\infty(\beta)) = \{x \mid x_i \leq \log \beta\}$
- ▶  $\ell_J$ : length of line corresponding to  $J$

$$\Pr_{\substack{g \leftarrow \mathcal{U}(H \bmod \Lambda) \\ x \leftarrow \mathcal{D}_{\langle g \rangle}}} (\langle x \cdot g^{-1} \rangle \in \mathcal{S}) = \frac{\sum_{\text{good } J} \ell_J}{\sum_{\text{all } J} \ell_J} \approx \frac{1}{3} \cdot \delta_{\mathcal{S}}[\beta^n] = \frac{\# \text{ good } J}{\# \text{ all } J}$$

# Randomizing the ideal $I$

## Theorem [BDPW20]

For some  $N = \tilde{O}(n)$  and  $B = \text{poly}(n)$ , let  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$ . Let  $I$  be any ideal and let  $\mathfrak{p}_i \xleftarrow{\$} \mathcal{P}_B$ , then

$$J = I \cdot \mathfrak{p}_1 \cdots \mathfrak{p}_N$$

is uniformly random (i.e.,  $J = \langle g \rangle$ , where  $g \leftarrow \mathcal{U}(H \bmod \Lambda)$ ).

(actually, we also need a small distortion on the space)

---

[BDPW20] de Boer, Ducas, Pellet-Mary and Wesolowski. Random self-reducibility of ideal-SVP via Arakelov random walks. Crypto.

# Summary

**Algorithm:** Given an ideal  $I$

- ▶ Randomize the ideal:  $J = I \cdot \mathfrak{p}_1 \cdots \mathfrak{p}_N$ , with  $\mathfrak{p}_i \stackrel{\$}{\leftarrow} \mathcal{P}_B$
- ▶ Sample  $x \stackrel{\$}{\leftarrow} J \cap B_\infty(2^n)$
- ▶ Repeat until  $x \cdot J^{-1} \in \mathcal{S}$ .

# Summary

**Algorithm:** Given an ideal  $I$

- ▶ Randomize the ideal:  $J = I \cdot \mathfrak{p}_1 \cdots \mathfrak{p}_N$ , with  $\mathfrak{p}_i \stackrel{\$}{\leftarrow} \mathcal{P}_B$
- ▶ Sample  $x \stackrel{\$}{\leftarrow} J \cap B_\infty(2^n)$
- ▶ Repeat until  $x \cdot J^{-1} \in \mathcal{S}$ .

**/!\** we ensure  $x \cdot J^{-1} = x \cdot I^{-1} \cdot \mathfrak{p}_1^{-1} \cdots \mathfrak{p}_N^{-1} \in \mathcal{S}$

# Summary

**Algorithm:** Given an ideal  $I$

- ▶ Randomize the ideal:  $J = I \cdot \mathfrak{p}_1 \cdots \mathfrak{p}_N$ , with  $\mathfrak{p}_i \stackrel{\$}{\leftarrow} \mathcal{P}_B$
- ▶ Sample  $x \stackrel{\$}{\leftarrow} J \cap B_\infty(2^n)$
- ▶ Repeat until  $x \cdot J^{-1} \in \mathcal{S}$ .

/!\ we ensure  $x \cdot J^{-1} = x \cdot I^{-1} \cdot \mathfrak{p}_1^{-1} \cdots \mathfrak{p}_N^{-1} \in \mathcal{S}$

## Our main theorem

Let  $x$  be sampled as in the algorithm above (without the rejection step).  
Let  $\mathcal{S}_B$  be the set of  $B$ -smooth ideals. Then

$$\Pr_x(x \cdot I^{-1} \in \mathcal{S} \cdot \mathcal{S}_B) \geq \frac{1}{3} \delta_{\mathcal{S}}[2^{n^2}] - 2^{-n}.$$

(Need a small distortion in the algorithm + definition of  $\delta_{\mathcal{S}}$  slightly different)

# Outline of the talk

- 1 Definitions and problem statement
- 2 Our result
- 3 Application: computing units

# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$

# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$
- ▶ Find relations  $\langle g_j \rangle = \prod_{\mathfrak{p} \in \mathcal{P}_B} \mathfrak{p}^{\alpha_{\mathfrak{p},j}}$  (with  $g_j$  and the  $\alpha_{\mathfrak{p},j}$  known)



# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$
- ▶ Find relations  $\langle g_j \rangle = \prod_{\mathfrak{p} \in \mathcal{P}_B} \mathfrak{p}^{\alpha_{\mathfrak{p},j}}$  (with  $g_j$  and the  $\alpha_{\mathfrak{p},j}$  known)
- ▶ Linear algebra: find  $(y_j)_j$  such that  $\sum_j y_j \alpha_{\mathfrak{p},j} = 0$  for all  $\mathfrak{p} \in \mathcal{P}_B$   
( $\prod_j \langle g_j \rangle^{y_j} = \prod_{\mathfrak{p}} \mathfrak{p}^{\sum_j y_j \alpha_{\mathfrak{p},j}} = R$ )

# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$
- ▶ Find relations  $\langle g_j \rangle = \prod_{\mathfrak{p} \in \mathcal{P}_B} \mathfrak{p}^{\alpha_{\mathfrak{p},j}}$  (with  $g_j$  and the  $\alpha_{\mathfrak{p},j}$  known)
- ▶ Linear algebra: find  $(y_j)_j$  such that  $\sum_j y_j \alpha_{\mathfrak{p},j} = 0$  for all  $\mathfrak{p} \in \mathcal{P}_B$   
( $\prod_j \langle g_j \rangle^{y_j} = \prod_{\mathfrak{p}} \mathfrak{p}^{\sum_j y_j \alpha_{\mathfrak{p},j}} = R$ )
- ▶ Output  $\prod_j g_j^{y_j}$

# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$
- ▶ Find relations  $\langle g_j \rangle = \prod_{\mathfrak{p} \in \mathcal{P}_B} \mathfrak{p}^{\alpha_{\mathfrak{p},j}}$  (with  $g_j$  and the  $\alpha_{\mathfrak{p},j}$  known)
- ▶ Linear algebra: find  $(y_j)_j$  such that  $\sum_j y_j \alpha_{\mathfrak{p},j} = 0$  for all  $\mathfrak{p} \in \mathcal{P}_B$   
( $\prod_j \langle g_j \rangle^{y_j} = \prod_{\mathfrak{p}} \mathfrak{p}^{\sum_j y_j \alpha_{\mathfrak{p},j}} = R$ )
- ▶ Output  $\prod_j g_j^{y_j}$

Run time:  $\text{poly}(B) \cdot T_{\text{relation}}$

( $T_{\text{relation}}$ : time to find a relation)

# Computing units: general idea

## Algorithm:

- ▶ Fix a bound  $B$  and a factor base  $\mathcal{P}_B = \{\mathfrak{p} \text{ prime} \mid \mathcal{N}(\mathfrak{p}) \leq B\}$
- ▶ Find relations  $\langle g_j \rangle = \prod_{\mathfrak{p} \in \mathcal{P}_B} \mathfrak{p}^{\alpha_{\mathfrak{p},j}}$  (with  $g_j$  and the  $\alpha_{\mathfrak{p},j}$  known)
- ▶ Linear algebra: find  $(y_j)_j$  such that  $\sum_j y_j \alpha_{\mathfrak{p},j} = 0$  for all  $\mathfrak{p} \in \mathcal{P}_B$   
( $\prod_j \langle g_j \rangle^{y_j} = \prod_{\mathfrak{p}} \mathfrak{p}^{\sum_j y_j \alpha_{\mathfrak{p},j}} = R$ )
- ▶ Output  $\prod_j g_j^{y_j}$

Run time:  $\text{poly}(B) \cdot T_{\text{relation}}$

( $T_{\text{relation}}$ : time to find a relation)

# Finding relations

## Objective

Find  $g$  and  $\alpha_p$  such that  $\langle g \rangle = \prod_{p \in \mathcal{P}_B} \mathfrak{p}^{\alpha_p}$

# Finding relations

## Objective

Find  $g$  and  $\alpha_p$  such that  $\langle g \rangle = \prod_{p \in \mathcal{P}_B} \mathfrak{p}^{\alpha_p}$

## Algorithm

- ▶ Sample  $g$  randomly in  $R$
- ▶ Repeat until  $\langle g \rangle$  is  $B$ -smooth

# Finding relations

## Objective

Find  $g$  and  $\alpha_p$  such that  $\langle g \rangle = \prod_{p \in \mathcal{P}_B} \mathfrak{p}^{\alpha_p}$

## Algorithm

- ▶ Sample  $g$  randomly in  $R$
- ▶ Repeat until  $\langle g \rangle$  is  $B$ -smooth  
⇒ Heuristic

# Finding relations

## Objective

Find  $g$  and  $\alpha_p$  such that  $\langle g \rangle = \prod_{p \in \mathcal{P}_B} \mathfrak{p}^{\alpha_p}$

## Algorithm

- ▶ Sample  $I = \mathfrak{p}_1 \cdots \mathfrak{p}_N$ , with  $\mathfrak{p}_i \stackrel{\$}{\leftarrow} \mathcal{P}_B$
  - ▶ Sample  $g$  randomly in  $I$
  - ▶ Repeat until  $\langle g \rangle$  is  $B$ -smooth
- ⇒ Proven



# Finding relations

## Objective

Find  $g$  and  $\alpha_p$  such that  $\langle g \rangle = \prod_{p \in \mathcal{P}_B} p^{\alpha_p}$

## Algorithm

- ▶ Sample  $I = p_1 \cdots p_N$ , with  $p_i \stackrel{\$}{\leftarrow} \mathcal{P}_B$
- ▶ Sample  $g$  randomly in  $I$
- ▶ Repeat until  $\langle g \rangle$  is  $B$ -smooth

$\Rightarrow$  Proven

$$T_{\text{relation}} \approx 3/\delta_{S_B}[2^{n^2}] \approx \frac{\#\{I \mid \mathcal{N}(I) \leq 2^{n^2}\}}{\#\{B\text{-smooth ideal } I \mid \mathcal{N}(I) \leq 2^{n^2}\}}$$

What we still need...

... to fully prove the algorithm

## What we still need...

... to fully prove the algorithm

- An **effective** lower bound on  $\delta_{S_B}[2^{n^2}]$

## What we still need...

... to fully prove the algorithm

- An **effective** lower bound on  $\delta_{S_B}[2^{n^2}]$
- A way to prove that the relations we create are **independent**  
(if we want to find all units)

# Conclusion

- Some things that were partially hidden:
  - ▶ the result also holds for non-principal domains  $R$
  - ▶ need to distort slightly the box  $B_\infty(2^n)$

# Conclusion

- Some things that were partially hidden:
  - ▶ the result also holds for non-principal domains  $R$
  - ▶ need to distort slightly the box  $B_\infty(2^n)$
- This is a result to **prove** heuristics
  - ▶ Can we remove all heuristics of [BF14] and/or [BP17]?  
(Koen is working on it)

# Conclusion

- Some things that were partially hidden:
  - ▶ the result also holds for non-principal domains  $R$
  - ▶ need to distort slightly the box  $B_\infty(2^n)$
- This is a result to **prove** heuristics
  - ▶ Can we remove all heuristics of [BF14] and/or [BP17]?  
(Koen is working on it)

Questions?