

Solving sparse polynomial systems using Gröbner basis

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Resumé of the talk

Objective

Compute Gröbner basis faster by exploiting the sparsity of the supports of the polynomials.

We focus in the mixed case

The polynomials have different supports.

In this talk

- Algorithm to compute Gröbner basis over semigroup algebras.
- Under regularity assumptions, no reductions to zero.
- Algorithm and complexity bounds to solve 0-dim. square systems.
- Improvements for special cases (mixed multihomogeneous & unmixed).

Gröbner basics

- $\mathbb{K}[\mathbf{x}] = \mathbb{K}[x_1, \dots, x_n]$, polynomial ring in n indeterminates over $\mathbb{K} \subset \mathbb{C}$.
- Polynomial $\rightarrow \sum_i c_i \mathbf{x}^\alpha \in \mathbb{K}[\mathbf{x}]$.
- Monomial $\rightarrow \mathbf{x}^\alpha$, for $\alpha \in \mathbb{N}^n$.

Monomial ordering $<$

Total order for monomials in $\mathbb{K}[\mathbf{x}]$ such that,

- The monomial 1 is the smallest: $\forall \mathbf{x}^\alpha \neq 1, 1 < \mathbf{x}^\alpha$,
- Compatible with multiplication: for all $\mathbf{x}^\alpha, \mathbf{x}^\beta, \mathbf{x}^\gamma$,
$$\mathbf{x}^\alpha < \mathbf{x}^\beta \implies \mathbf{x}^\alpha \mathbf{x}^\gamma < \mathbf{x}^\beta \mathbf{x}^\gamma$$

- Lexicographical (lex) $y < x$,
$$1 < y < y^2 < \dots < x < xy < xy^2 < \dots < x^2 < x^2y < \dots$$
- Degree lexicographical $z < y < x$,
$$1 < z < y < x < z^2 < yz < y^2 < xz < xy < x^2 < \dots$$
- Degree reverse lexicographical order (grevlex) $z < y < x$,
$$1 < z < y < x < z^2 < yz < xz < y^2 < xy < x^2 < \dots$$

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Leading monomial \rightarrow Biggest monomial (wrt $>$) with non-zero coefficient.

Gröbner basis

A subset $G \subset I$ is a Gröbner basis of the ideal I wrt $>$, if and only if, for every $f \in I$, there is $g \in G$ such that $LM_{>}(g)$ divides $LM_{>}(f)$.

Computing Gröbner basis : Lazard's approach

Compute Gröbner basis for (f_1, f_2, f_3) in $\mathbb{K}[x, y]$ wrt Grevlex($x > y$),

$$\begin{cases} f_1 := x + y + 1 \\ f_2 := -x + y + 1 \\ f_3 := x^2 + xy - y^2 + x + y + 1 \end{cases}$$

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$$\left[\begin{array}{c|ccc} & x & y & z \\ F_1 & 1 & 1 & 1 \\ F_2 & -1 & 1 & 1 \end{array} \right]$$

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Gröbner basis of $\langle F_1, F_2, F_3 \rangle \rightarrow \{x + y + z, y + z, z^2\}$.

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Gröbner basis of $\langle F_1, F_2, F_3 \rangle \rightarrow \{x + y + z, y + z, z^2\}$.

Its dehomogenization ($z = 1$) is a Gröbner basis of $\langle f_1, f_2, f_3 \rangle \rightarrow \{\mathbf{1}\}$.

Complexity of Lazard's algorithm

Complexity depends on maximal degree. In generic coordinates,
→ Castelnuovo-Mumford (CM) regularity of I .

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Regular sequence

(F_1, \dots, F_m) is a regular seq. $\Leftrightarrow \forall k \leq m, F_k$ is regular in $\mathbb{K}[\mathbf{x}]/\langle F_1, \dots, F_{k-1} \rangle$.

Macaulay bound

If F_1, \dots, F_m regular sequence \rightarrow CM regularity = $\sum_{i=1}^m \text{deg}(f_i) - m + 1$

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Drawback: Many rows reduce to zero

$$\begin{array}{l} z F_1 \\ y F_1 \\ x F_1 \\ z F_2 \\ y F_2 + y F_1 \\ \hline (x + y + z) F_2 - (x - y + z) F_1 \\ \hline F_3 - (x - \frac{y}{2} + 1) F_1 + (\frac{y}{2} - 1) F_2 \end{array} \begin{bmatrix} x^2 & xy & y^2 & xz & yz & z^2 \\ & & & 1 & 1 & 1 \\ & 1 & 1 & & 1 & \\ 1 & 1 & & 1 & & \\ & & & -1 & 1 & 1 \\ & & 2 & & 2 & \\ \hline 0 & 0 & 0 & 0 & 0 & 0 \\ \hline & & & & & -1 \end{bmatrix}$$

F5 criterion to detect trivial syzygies

$$\underbrace{(x - y + z)}_{F_2} F_1 - \underbrace{(x + y + z)}_{F_1} F_2 = 0 \longleftrightarrow \begin{array}{l} \text{Trivial syzygy} \\ (F_2, -F_1, 0) \end{array}$$

Trivial syzygy

Syzygy of $(F_1, \dots, F_m) \rightarrow$

$$(H_1, \dots, H_m) \in \mathbb{K}[\mathbf{x}]^m \text{ such that } \sum_i H_i F_i = 0.$$

Trivial $\rightarrow H_m = \dots = H_{k+1} = 0, H_k \neq 0$, and

$$H_k \in \langle F_1, \dots, F_{k-1} \rangle.$$

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Syzygy of $(F_1, \dots, F_m) \rightarrow (H_1, \dots, H_m) \in \mathbb{K}[\mathbf{x}]^m$ such that $\sum_i H_i F_i = 0$.

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If $x^\alpha \in LM_{>}(\langle F_1, \dots, F_{k-1} \rangle)$, then the row $x^\alpha F_k$ reduces to zero.

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F5 criterion : Optimality

If (F_1, \dots, F_m) is a regular sequence \implies

F5 criterion detects all the reductions to zero.

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- Lazard's approach: For each d , compute triangular basis of $(\langle F_1, \dots, F_m \rangle)_d$.

Computing Gröbner bases

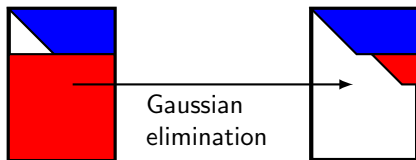
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$$(J_k)_d := \text{Gaussian Elim.} \left((J_{k-1})_d \cup \{x^\alpha F_k : \deg(x^\alpha) = d - \deg(F_k)\} \right)$$

$(J_k)_d$



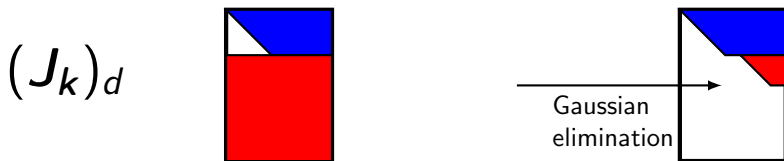
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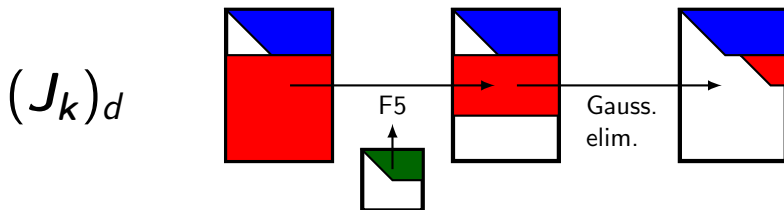
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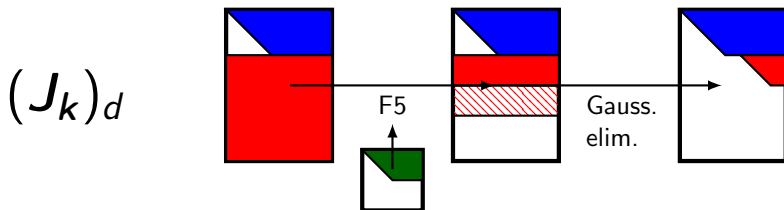
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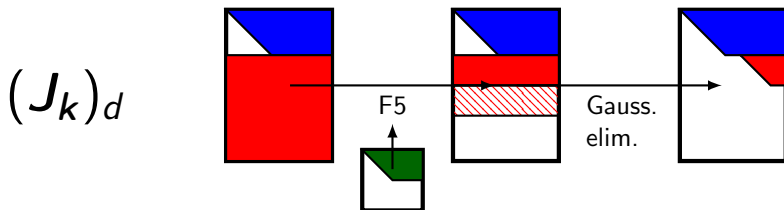
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If (F_1, \dots, F_m) is a regular sequence \implies we skip every reduction to zero.

Computing Gröbner basis

- Lazard's algorithm \rightarrow Computes Gröbner basis using linear algebra.
- In generic coordinates, complexity \rightarrow Castelnuovo-Mumford regularity.
- F5 criterion \rightarrow Avoids trivial reductions to zero.
- If the system is a *regular sequence*.
 - F5 avoids every redundant computations.
 - Castelnuovo-Mumford regularity \rightarrow Macaulay bound.

Computing Gröbner basis for **sparse systems**

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Generic coordinates destroy sparsity. Complexity unknown.
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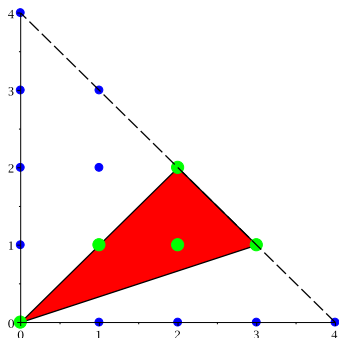
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F5 always misses reductions to zero.
- ~~X Castelnuovo-Mumford regularity → Macaulay bound.~~
Castelnuovo-Mumford regularity unknown.

Sparse systems

- **Newton polytope** of $f = \sum_{\alpha} c_{\alpha} \mathbf{X}^{\alpha} \rightarrow$ Convex hull of $\{\alpha : c_{\alpha} \neq 0\}$.
- Sparse system \rightarrow Newton polytopes of the polynomials are “small”.

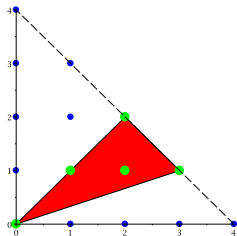
$$1 + xy + x^2y + x^2y^2 + x^3y = \mathbf{1} + \mathbf{0} \cdot x + \mathbf{0} \cdot y + \mathbf{0} \cdot x^2 + \mathbf{xy} + \mathbf{0} \cdot y^2 + \mathbf{0} \cdot x^3 + \mathbf{x^2y} + \mathbf{0} \cdot xy^2 + \mathbf{0} \cdot y^3 + \mathbf{0} \cdot x^4 + \mathbf{x^3y} + \mathbf{x^2y^2} + \mathbf{0} \cdot xy^3 + \mathbf{0} \cdot y^4$$



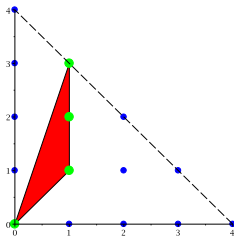
Sparse systems

- **Newton polytope** of $f = \sum_{\alpha} c_{\alpha} \mathbf{X}^{\alpha} \rightarrow$ Convex hull of $\{\alpha : c_{\alpha} \neq 0\}$.
- Sparse system \rightarrow Newton polytopes of the polynomials are “small”.
- Unmixed sparse system \rightarrow Polynomials with equal Newton polytope.
Mixed sparse system \rightarrow Different Newton polytope.

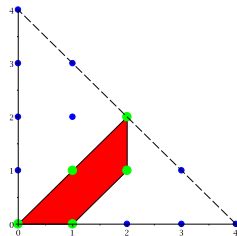
$$1 + xy + x^2y + x^2y^2 + x^3y$$



$$1 + xy + xy^2 + xy^3$$



$$1 + x + xy + x^2y + x^2y^2$$

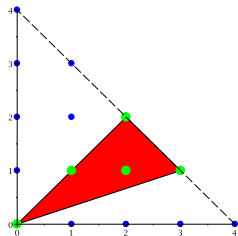


Sparse systems

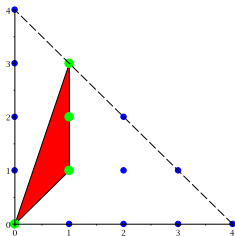
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This talk!

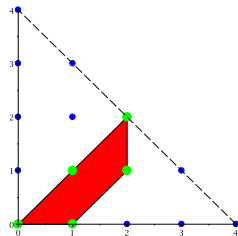
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$$1 + x + xy + x^2y + x^2y^2$$



Previous work (Non-exhaustive!)

- Toric varieties

[Demazure, 1970], [Hochster, 1971], [Satake, 1973], [Kempf, Knudsen, Mumford & Saint-Donat, 1973], [Miyake & Oda, 1975], [Ehlers, 1975], [Bernstein, 1975], [Kusnirenko, 1976] [Khovanskii, 1977], ...

... [Oda, 1988] ... [Fulton, 1993] ... [Cox, Little & Schenck, 2011]

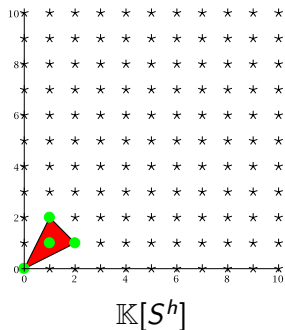
- Sparse resultant

[Gelfand, Kapranov & Zelevinsky, 1990], [Kapranov, Sturmfels & Zelevinsky, 1992], [Sturmfels, 1993], [Pedersen & Sturmfels, 1993], [Gelfand, Kapranov & Zelevinsky, 1994], [Canny & Emiris, 1995], [D'Andrea, 2002], [D'Andrea & Sombra, 2013]

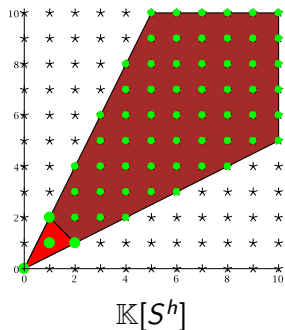
- Gröbner basis over semigroup algebras

[Sturmfels, 1991], [Faugère, Spaenlehauer & Svartz, 2014],
[B., Faugère & Tsigaridas, 2018]

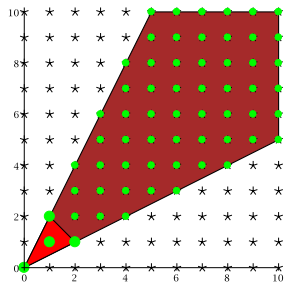
Semigroup algebra $\mathbb{K}[S^h]$: Unmixed case



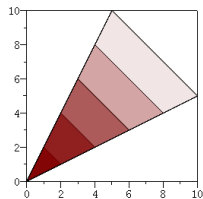
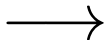
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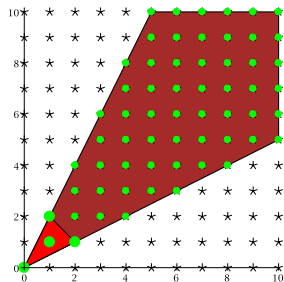


$\mathbb{K}[S^h]$

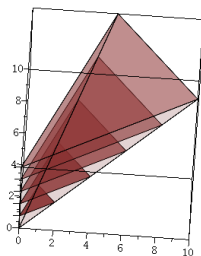
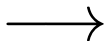


Graded algebra

Semigroup algebra $\mathbb{K}[S^h]$: Unmixed case

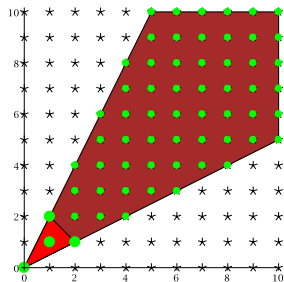


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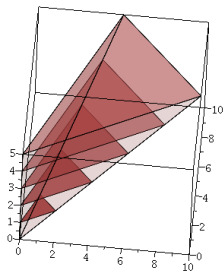
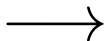


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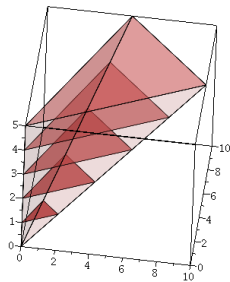
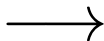
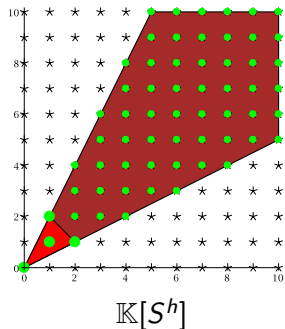


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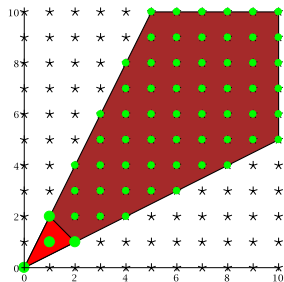
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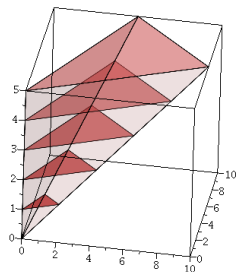
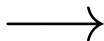


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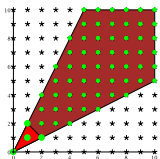


$\mathbb{K}[S^h]$

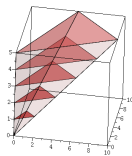
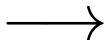


Graded algebra

Semigroup algebra $\mathbb{K}[S^h]$: Unmixed case



$\mathbb{K}[S^h]$



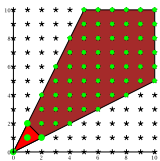
Graded algebra

[Faugère, Spaenlehauer & Svartz, 2014]

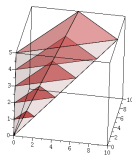
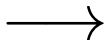
- GB over $\mathbb{K}[S^h]$ \rightarrow exists and finite.
- Algor. to compute GB over $\mathbb{K}[S^h]$
 \rightarrow Lazard's algorithm + F5.
- If the homogenization of f_1, \dots, f_m over $\mathbb{K}[S^h]$ is a *regular sequence*,
 - No redundant computations (F5 criterion).
 - ? 0-dim systems \rightarrow Complexity bounds (CM regularity).

Generic **unmixed** systems \rightarrow homogenization *regular*.

Semigroup algebra $\mathbb{K}[S^h]$: Unmixed case



$\mathbb{K}[S^h]$



Graded algebra

[Faugère, Spaenlehauer & Svartz, 2014]

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Generic **unmixed** systems \rightarrow homogenization *regular*.

Generic **mixed** systems \rightarrow homogenization **NOT** *regular*.

Relaxing the regular sequence condition

We need a new algorithm

Generic **mixed** systems are **NOT** *regular sequences*...

... but they behave as them for “big degrees”.

Idea

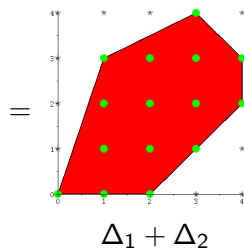
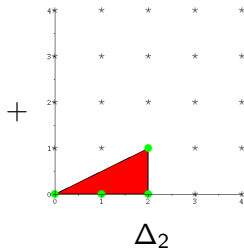
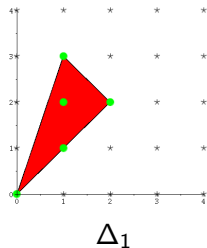
Check what happens at specific multidegrees.

- Consider multigrading for $\mathbb{K}[S^h]$ related to the different polytopes.
- Characterize the optimality of F5 at a multideg. \rightarrow Koszul complex.
- Do not compute in every multidegree,
only consider the ones where we can predict the syzygies.

Warning

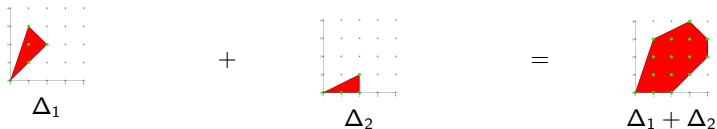
This approach **does not** make the systems regular sequences.

Minkowski sum

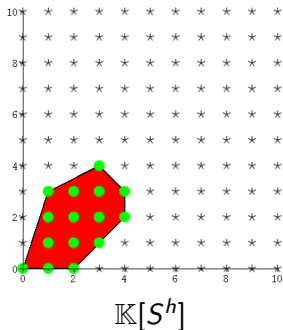


Semigroup algebra $\mathbb{K}[S^h]$: Mixed case

Minkowski sum

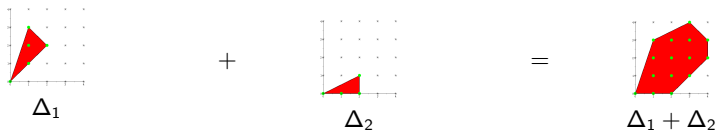


Semigroup algebra

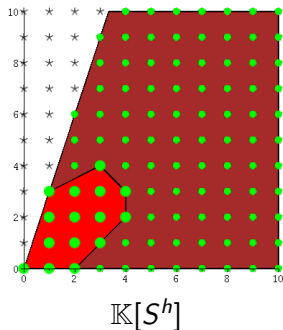


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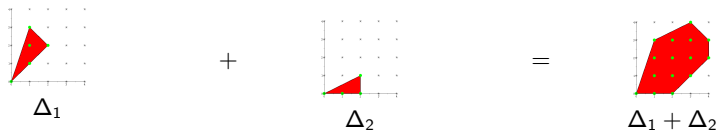


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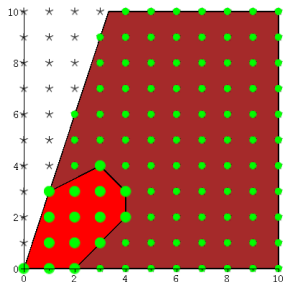


Semigroup algebra $\mathbb{K}[S^h]$: Mixed case

Minkowski sum



Semigroup algebra



$\mathbb{K}[S^h]$

$\mathbb{K}[S^h]$ multigraded by \mathbb{N}^m

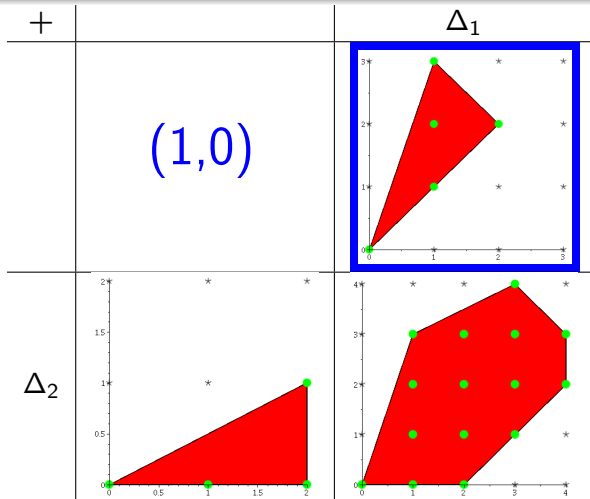
$$X^\alpha \in \mathbb{K}[S^h]_{(d_1, \dots, d_m)}$$

$$\alpha \in (d_1\Delta_1 + \dots + d_m\Delta_m) \cap \mathbb{Z}^n$$

Semigroup algebra $\mathbb{K}[S^h]$: Mixed case

$\mathbb{K}[S^h]$ is multigraded by \mathbb{N}^2 wrt Δ_1, Δ_2 ,

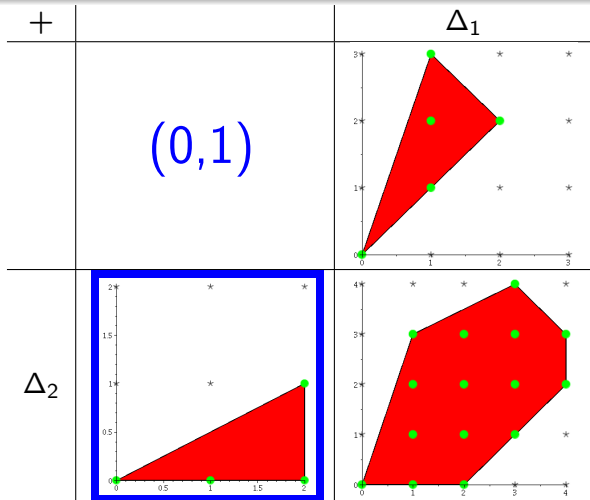
$$X^\alpha \in \mathbb{K}[S^h]_{(d_1, d_2)} \iff \alpha \in (d_1\Delta_1 + d_2\Delta_2) \cap \mathbb{Z}^n$$



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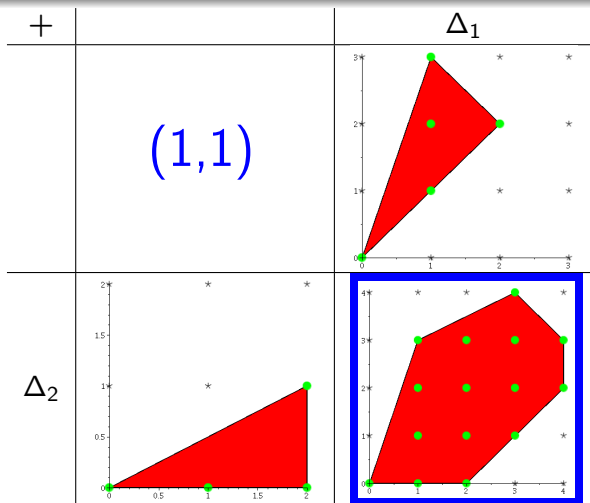
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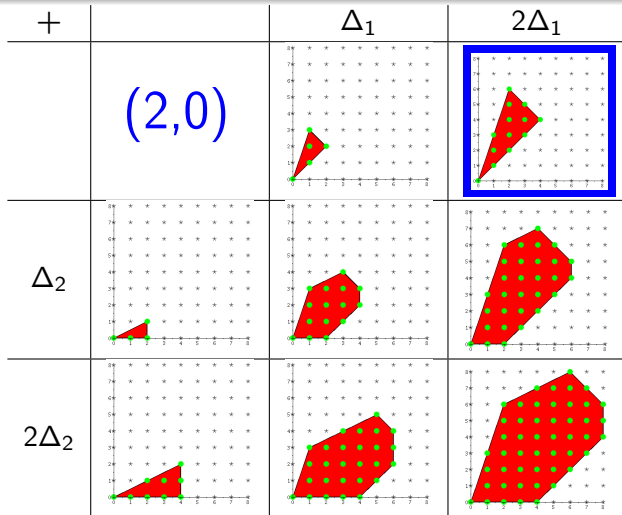
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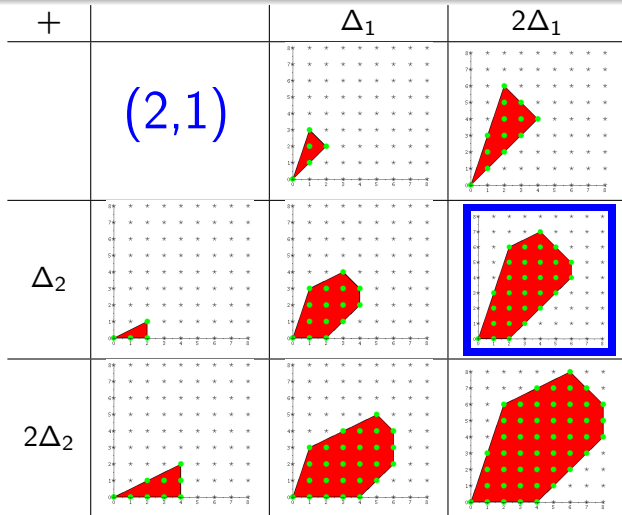
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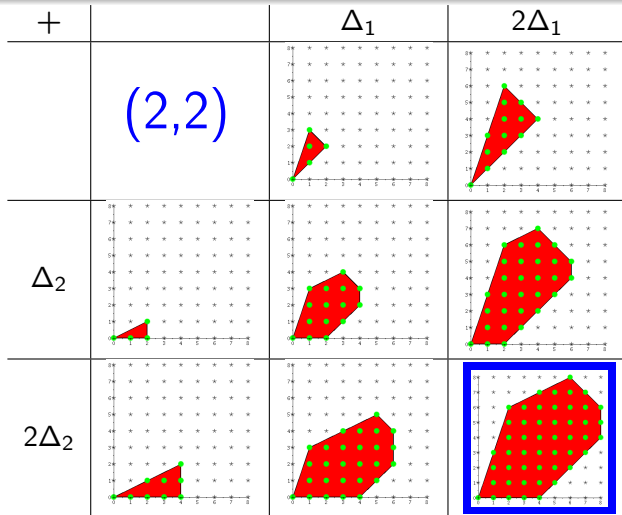
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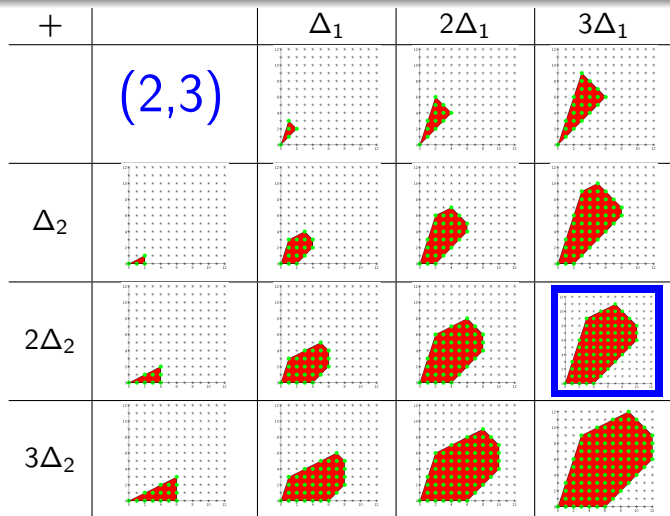
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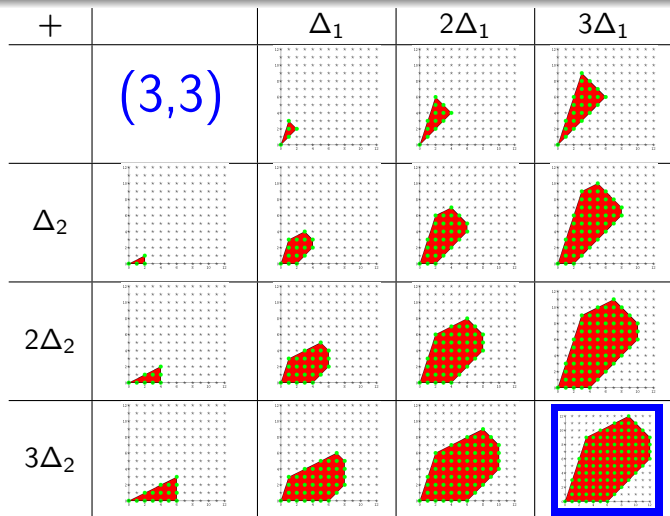
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Koszul F5 criterion

Koszul complex,

$$\mathcal{K}(F_1, \dots, F_k) : 0 \rightarrow (\mathcal{K}_k) \xrightarrow{\delta_k} \dots \xrightarrow{\delta_2} (\mathcal{K}_1) \xrightarrow{\delta_1} (\mathcal{K}_0) \rightarrow 0,$$

- Matrices in Lazard's algo. represent $\delta_1(G_1, \dots, G_k) = \sum_i G_i F_i$
- Trivial syzygies $\leftrightarrow \text{Im}(\delta_2)$
- F5 is correct $\Leftrightarrow \text{Im}(\delta_2) \subset \text{Ker}(\delta_1)$
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Koszul F5 criterion

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If $\langle F_1, \dots, F_k \rangle$ is homogeneous \implies Koszul complex is graded.

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Koszul F5 criterion

If, for each $k \leq m$, $\mathcal{K}(F_1, \dots, F_k)$ is exact at multidegree d ,
 \implies every syzygy of (F_1, \dots, F_m) of multidegree d is trivial.

(F_1, \dots, F_m) is (sparse) regular

For each $k \leq m$, $\mathcal{K}(F_1, \dots, F_k)$ is exact at multideg. d , for $d \geq \sum_{i \leq k} mdeg(F_i)$.

Computing GB for mixed systems

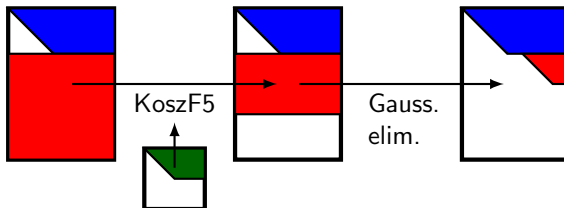
Algorithm: For big multidegree d , compute $\text{triangBasis}(\langle\langle F_1, \dots, F_m \rangle\rangle_d)$.

$\text{triangBasis}(\langle\langle F_1, \dots, F_k \rangle\rangle_d) \leftarrow$ Gaussian elimination of

$\text{triangBasis}(\langle\langle F_1, \dots, F_{k-1} \rangle\rangle_d) \cup \{X^\alpha F_k : \text{KoszulF5}_k(X^\alpha)\}$.

$\text{KoszulF5}_k(X^\alpha) \leftarrow$ Skip X^α if it is a leading monomial of

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Computing GB for mixed systems

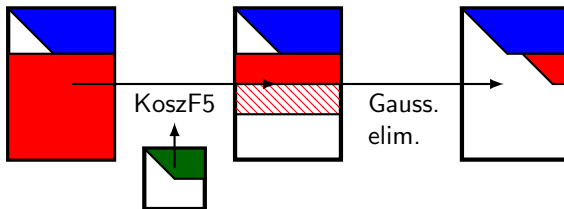
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If multidegree $d \geq \sum_{i \leq k} \text{mdeg}(F_i)$, and (F_1, \dots, F_m) is (sparse) regular \implies
No reductions to zero.

Solving sparse polynomials systems over $(\mathbb{C}^*)^n$

Solving sparse polynomials systems over $(\mathbb{C}^*)^n$

Consider square system (f_1, \dots, f_n) with polytopes $\Delta_1, \dots, \Delta_n$.

BKK bound

Number of solutions of (f_1, \dots, f_n) over $(\mathbb{C}^*)^n \leq$ Mixed volume of $\Delta_1, \dots, \Delta_n$.

- $K[S^h] \leftarrow$ Semigroup algebra of $\Delta_0, \Delta_1, \dots, \Delta_n$. (Δ_0 is n -standard simplex)
- $(F_1, \dots, F_n) \leftarrow$ homogenization over $\mathbb{K}[S^h]$. ($\text{multideg}(F_i) = \mathbf{e}_i \in \mathbb{N}^{n+1}$)

If BKK bound is tight and (F_1, \dots, F_n) is (sparse) regular,

We can compute GB of $\langle f_1, \dots, f_n \rangle : \langle \prod_i x_i \rangle^\infty$ in

$$O\left(2^{n+1} \left(\# \left(\sum_{i=0}^n \Delta_i \cap \mathbb{Z}^n\right)\right)^\omega + n MV(\Delta_1, \dots, \Delta_n)^3\right).$$

Similar complexity to resultant based methods, i.e. [\[Canny & Emiris, 1995\]](#),
→ but clear assumptions and correct for non-radical ideals.

Solving sparse polynomials systems over $(\mathbb{C}^*)^n$

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BKK bound

Number of solutions of (f_1, \dots, f_n) over $(\mathbb{C}^*)^n \leq$ Mixed volume of $\Delta_1, \dots, \Delta_n$.

- $K[S^h] \leftarrow$ Semigroup algebra of $\Delta_0, \Delta_1, \dots, \Delta_n$. (Δ_0 is n -standard simplex)
- $(F_1, \dots, F_n) \leftarrow$ homogenization over $\mathbb{K}[S^h]$. ($\text{multideg}(F_i) = \mathbf{e}_i \in \mathbb{N}^{n+1}$)

If BKK bound is tight and (F_1, \dots, F_n) is (sparse) regular,

We can compute GB of $\langle f_1, \dots, f_n \rangle : \langle \prod_i x_i \rangle^\infty$ in

$$O \left(2^{n+1} \left(\# \left(\sum_{i=0}^n \Delta_i \cap \mathbb{Z}^n \right) \right)^\omega + n \text{MV}(\Delta_1, \dots, \Delta_n)^3 \right).$$

Similar complexity to resultant based methods, i.e. [\[Canny & Emiris, 1995\]](#),
→ but clear assumptions and correct for non-radical ideals.

Solving sparse polynomials systems over $(\mathbb{C}^*)^n$

For each linear $f_0 \in \mathbb{K}[\mathbf{x}]$, consider $M(f_0)$,

$$\text{triangBasis}(\langle (F_1, \dots, F_n)_{(1,1,\dots,1)} \rangle) \left\{ \begin{array}{|c|c|} \hline M_{1,1}(f_0) & M_{1,2}(f_0) \\ \hline M_{2,1}(f_0) & M_{2,2}(f_0) \\ \hline \end{array} \right. \left. \begin{array}{l} \\ \\ \{X^\alpha F_0 : X^\alpha \in L\} \end{array} \right\}$$

$F_0 \in \mathbb{K}[S^h]_{(1,0,\dots,0)} \leftarrow$ Homogenization of f_0 .

$L \leftarrow$ Monomials not in $\text{LM}(\text{triangBasis}(\langle (F_1, \dots, F_n)_{(0,1,\dots,1)} \rangle))$.

The matrix $M(f_0)$ is square, with (row/column) dimension $\# \left(\sum_{i \geq 0} \Delta_i \cap \mathbb{Z}^n \right)$.

Schur complement of $M(f_0) \leftrightarrow$ mult. map of f_0 in $\mathbb{K}[x_1^\pm, \dots, x_n^\pm] / \langle f_1, \dots, f_n \rangle$

$$M_{2,2}^c(f_0) := (M_{2,2} - M_{2,1} M_{1,1}^{-1} M_{1,2})(f_0).$$

Improving the bounds, Multigraded Castelnuovo-Mumford regularity

Improving the bounds for solving 0-dim systems

We rely on

- When the Koszul complex is exact \rightarrow Avoid reduction to zero
- At the multigraded CM regularity \rightarrow Recover multiplication maps

[Maclagan & Smith, 2004], [Botbol & Chardin, 2017]

Under reg. assumptions, bounds for exactness of Koszul complex \implies
bounds for multigraded Castelnuovo-Mumford regularity.

New complexity bounds

- Unmixed sparse systems.
- Mixed multihomogeneous systems.

The complexity of solving unmixed sparse systems

- Algorithm to solve, over $(\mathbb{C}^*)^n$, 0-dimensional square **unmixed sparse systems**, which performs **no reduction to zero**.
- **Complexity bounds** in terms of **Castelnuovo-Mumford regularity**.

[Bruns, Gubeladze & Trung, 1997]

Let t be the smallest integer such that $t \cdot \Delta$ has an integer interior point. Then, the Castelnuovo-Mumford regularity of $K[S^h]$ is $n - t + 1$.

Maximal degree

New bound	General bound (no assumptions)
$\sum_i mdeg(f_i) + 1 - (t - 1)$	$\sum_i mdeg(f_i) + 1$

The complexity of solving multihomogeneous systems

[B., Faugère & Tsigaridas, 2018]

- Algorithm to solve, over $\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_s}$, 0-dimensional square **mixed multihomogeneous** systems, which performs **no reduction to zero**.
- **Complexity bounds** in terms of **Multihomogeneous Macaulay bound**.

[Botbol & Chardin, 2017]

We exploit their bounds for the multigraded Castenuovo-Mumford regularity.

Multihomogeneous Macaulay bound \rightarrow Generalization of Macaulay bound

Macaulay bound	Multihomogeneous Macaulay bound
$\sum_{i=1}^n \deg(f_i) - n + 1$	$\sum_{i=1}^{n_1+\cdots+n_s} \text{multideg}(f_i) - (n_1, \dots, n_s) + \bar{1}$

The general bound is $\sum_i \text{multideg}(f_i) + \bar{1}$

Tools

- Exploit sparseness \rightarrow Gröbner basis for semigroup algebras.
- No reductions to zero \rightarrow Exactness of the Koszul complex.
- Complexity bounds \rightarrow Multigraded Castelnuovo-Mumford regularity.

Results

- Algorithm to compute GB for semigroup algebras.
- Regularity assumptions for **mixed** systems \rightarrow no reductions to zero.
- F5 criterion related to Koszul complex, not to regular sequences.
- Algorithm and complexity bounds to solve 0-dim. square systems.
- Improvements for special cases (mixed multihomogeneous & unmixed).

Perspectives

- Exploit the combinatorial structures of the polytopes.

Summing-up

Tools

- Exploit sparseness \rightarrow Gröbner basis for semigroup algebras.
- No reductions to zero \rightarrow Exactness of the Koszul complex.
- Complexity bounds \rightarrow Multigraded Castelnuovo-Mumford regularity.

Results

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Perspectives

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**Thank
you!**