# Computing Gröbner Bases - a short overview 

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## Preliminaries

## Conventions

- $\mathscr{R}=\mathscr{K}\left[x_{1}, \ldots, x_{n}\right], \mathscr{K}$ field, $<$ well-ordering on $\operatorname{Mon}\left(x_{1}, \ldots, x_{n}\right)$
- $f \in \mathscr{R}$ can be represented in a unique way by $<$. $\Rightarrow$ Definitions as $\operatorname{Ic}(f), \operatorname{Im}(f)$, and $\operatorname{It}(f)$ make sense.
- An ideal $I$ in $\mathscr{R}$ is an additive subgroup of $\mathscr{R}$ such that for $f \in I$, $g \in \mathscr{R}$ it holds that $f g \in I$.
$\vee G=\left\{g_{1}, \ldots, g_{s}\right\} \subset \mathscr{R}$ is a Gröbner basis for $I=\left\langle f_{1}, \ldots, f_{m}\right\rangle$ w.r.t. $<$

$$
G \subset I \text { and } L_{<}(G)=L_{<}(I)
$$

## Buchberger's criterion

S-polynomials
Let $f \neq 0, g \neq 0 \in \mathscr{R}$ and let $\lambda=\operatorname{lcm}(\operatorname{lt}(f), \operatorname{lt}(g))$ be the least common multiple of $\operatorname{lt}(f)$ and $\operatorname{It}(g)$. The S-polynomial between $f$ and $g$ is given by

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Buchberger's criterion [5]
Let $I=\left\langle f_{1}, \ldots, f_{m}\right\rangle$ be an ideal in $\mathscr{R}$. A finite subset $G \subset \mathscr{R}$ is a Gröbner basis for $l$ if $G \subset I$ and for all $f, g \in G: \operatorname{spol}(f, g) \xrightarrow{G} 0$.

## Buchberger's algorithm

Input: Ideal $I=\left\langle f_{1}, \ldots, f_{m}\right\rangle$
Output: Gröbner basis $G$ for I

1. $G \leftarrow \emptyset$
2. $G \leftarrow G \cup\left\{f_{i}\right\}$ for all $i \in\{1, \ldots, m\}$
3. Set $P \leftarrow\left\{\operatorname{spol}\left(f_{i}, f_{j}\right) \mid f_{i}, f_{j} \in G, i>j\right\}$

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4. Choose $p \in P, P \leftarrow P \backslash\{p\}$
(a) If $p \xrightarrow{G} 0 \leadsto$ no new information Go on with the next element in $P$.
(b) If $p \xrightarrow{G} q \neq 0 \longmapsto$ new information

Build new S-pair with $q$ and add them to $P$.
Add $q$ to $G$.
Go on with the next element in $P$.
5. When $P=\emptyset$ we are done and $G$ is a Gröbner basis for $I$.

## How to improve computations?

- Modular computations (modStd et al.)
- Predict zero reductions (Buchberger, Gebauer-Möller, Möller-Mora-Traverso, Faugère.)
- Sort pair set (Buchberger, Giovini et al., Möller et al.)
- Homogenize: $d$-Gröbner bases
- Change of ordering (FGLM, Gröbner Walk)
- Linear Algebra: Gaussian Elimination (Lazard, Faugère)
- Sparse Gröbner Bases: Use sparsity and exploit Newton polygons (Faugère, Spaenlehauer, Svartz)


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Predicting zero reductions

Fast linear algebra for computing Gröbner bases

How to detect zero reductions in advance?
Let $I=\left\langle g_{1}, g_{2}\right\rangle \in \mathbb{Q}[x, y, z]$ and let $<$ denote the reverse lexicographical ordering. Let

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g_{1}=x y-z^{2}, \quad g_{2}=y^{2}-z^{2}
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\begin{aligned}
\mathbf{g}_{1} & =\mathbf{x y}-\mathbf{z}^{2}, \quad \mathbf{g}_{2}=\mathbf{y}^{2}-\mathbf{z}^{2} \\
\operatorname{spol}\left(g_{2}, g_{1}\right) & =x g_{2}-y g_{1}=\mathbf{x} \mathbf{y}^{2}-x z^{2}-\mathbf{x} \mathbf{y}^{2}+y z^{2} \\
& =-x z^{2}+y z^{2} . \\
& \Longrightarrow \mathbf{g}_{3}=\mathbf{x z ^ { 2 }}-\mathbf{y z} \mathbf{z}^{2} .
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$$
\Longrightarrow g_{3}=x z^{2}-y z^{2} .
$$

$$
\operatorname{spol}\left(g_{3}, g_{1}\right)=\mathbf{x y z} z^{2}-y^{2} z^{2}-\mathbf{x y z} z^{2}+z^{4}=-y^{2} z^{2}+z^{4} .
$$

We can reduce further using $z^{2} g_{2}$ :

$$
-y^{2} z^{2}+z^{4}+y^{2} z^{2}-z^{4}=0 .
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For all $u \in \operatorname{support}\left(\operatorname{lot}\left(g_{3}\right)\right)$ we can reduce with $u g_{2}$ :

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So we can reduce this to zero by $v g_{3}$ for all $v \in \operatorname{support}\left(\operatorname{lot}\left(g_{2}\right)\right)$.

## Buchberger's criteria

## Product criterion [6, 7]

If $\operatorname{lcm}(\operatorname{lt}(f), \operatorname{lt}(g))=\operatorname{lt}(f) \operatorname{lt}(g)$ then $\operatorname{spol}(f, g) \xrightarrow{\{f, g\}} 0$.

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$\Longrightarrow$ We can rewrite $\operatorname{spol}\left(g_{3}, g_{2}\right)$ :
$\operatorname{spol}\left(g_{3}, g_{2}\right)=y \underbrace{\operatorname{spol}\left(g_{3}, g_{1}\right.}_{G_{\rightarrow}})-z^{2} \underbrace{\operatorname{spol}\left(g_{2}, g_{1}\right)}_{\underset{\rightarrow}{G}-g_{3}}=y\left(y g_{3}-z^{2} g_{1}\right)-z^{2}\left(x g_{2}-y g_{1}\right)$

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Standard representations of spol $\left(g_{2}, g_{1}\right)$ and $\operatorname{spol}\left(g_{3}, g_{1}\right)$
$\Longrightarrow$ Standard representation of spol $\left(g_{3}, g_{2}\right)$.

## Buchberger's criteria

Chain criterion [8]
Let $f, g, h \in \mathscr{R}, G \subset \mathscr{R}$ finite. If

1. $\operatorname{It}(h) \mid \operatorname{lcm}(\operatorname{lt}(f), \operatorname{lt}(g))$, and
2. $\operatorname{spol}(f, h)$ and $\operatorname{spol}(h, g)$ have a standard representation w.r.t. $G$ respectively,
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## Note

Do not remove too much information! If $\lambda=1$ and

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How to combine Product and Chain criterion?

## Gebauer-Möller installation [32]

We add a new element $h$ to $G$ and generate new pairs $P^{\prime}:=\{(f, h) \mid f \in G\}$.

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$\Longrightarrow$ Remove $(f, g)$ from $P$. [ $P$ done]
2. Fix $(f, h) \in P^{\prime}$. If $(g, h) \in P^{\prime} \backslash\{(f, h)\}$ s.t.
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4. If $(f, h) \in P^{\prime}$ s.t. $\operatorname{Icm}(\operatorname{It}(f), \operatorname{It}(h))=\operatorname{It}(f) \operatorname{lt}(h)$
$\Longrightarrow$ Remove $(f, h)$ from $P^{\prime}$. [Product criterion done]

## Can we do even better?

In our example we still need to consider

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Use more structure of $I \Longrightarrow$ Signatures

## Signatures

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4. A signature of $f$ is given by $\mathfrak{s}(f)=\mathrm{It}_{\prec}(\alpha)$ where $f=\bar{\alpha}$.

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4. A signature of $f$ is given by $\mathfrak{s}(f)=\mathrm{It}_{\prec}(\alpha)$ where $f=\bar{\alpha}$.
5. An element $\alpha \in \mathscr{R}^{m}$ such that $\bar{\alpha}=0$ is called a syzygy.

## Our example again - with signatures and $\prec_{\text {pot }}$

$$
\begin{aligned}
& g_{1}=x y-z^{2}, \mathfrak{s}\left(g_{1}\right)=e_{1}, \\
& g_{2}=y^{2}-z^{2}, \mathfrak{s}\left(g_{2}\right)=e_{2} .
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## Our example again - with signatures and $\prec$ pot

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\begin{aligned}
& g_{1}=x y-z^{2}, \mathfrak{s}\left(g_{1}\right)=e_{1}, \\
& g_{2}=y^{2}-z^{2}, \mathfrak{s}\left(g_{2}\right)=e_{2} .
\end{aligned}
$$

$$
\begin{aligned}
g_{3} & =\operatorname{spol}\left(g_{2}, g_{1}\right)=x g_{2}-y g_{1} \\
& \Rightarrow \mathfrak{s}\left(g_{3}\right)=x \mathfrak{s}\left(g_{2}\right)=x e_{2}
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\Rightarrow \mathfrak{s}\left(g_{3}\right)=x \mathfrak{s}\left(g_{2}\right)=x e_{2} . \\
\\
\Rightarrow \mathfrak{s p o l}\left(g_{3}, g_{1}\right)=y g_{3}-z^{2} g_{1} \\
\left.\operatorname{spol}\left(g_{3}, g_{1}\right)\right)=y \mathfrak{s}\left(g_{3}\right)=x y e_{2} .
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Note that $\mathfrak{s}\left(\operatorname{spol}\left(g_{3}, g_{1}\right)\right)=x y \epsilon_{2}$ and $\operatorname{Im}\left(g_{1}\right)=x y$.

## Think in the module

$\alpha \in \mathscr{R}^{m} \Longrightarrow$ polynomial $\bar{\alpha}$ with $\operatorname{It}(\bar{\alpha})$, signature $\mathfrak{s}(\alpha)=\operatorname{It}(\alpha)$

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s-reductions:

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\bar{\gamma}-d \bar{\delta} \Longrightarrow \gamma-d \delta
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$\mathfrak{s}$-reductions:

$$
\bar{\gamma}-d \bar{\delta} \Longrightarrow \gamma-d \delta
$$

## Remark

In the following we need one detail from signature-based Gröbner Basis computations:

We pick from $P$ by increasing signature.

## Signature-based criteria

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\mathfrak{s}(\alpha)=\mathfrak{s}(\beta) \Longrightarrow \text { Compute 1, remove } 1 .
$$

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## Sketch of proof

1. $\mathfrak{s}(\alpha-\beta) \prec \mathfrak{s}(\alpha), \mathfrak{s}(\beta)$.
2. All S-pairs are handled by increasing signature.
$\Rightarrow$ All relatons $\prec \mathfrak{s}(\alpha)$ are known:

$$
\alpha=\beta+\text { elements of smaller signature }
$$

## Signature-based criteria

S-pairs in signature $T$

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## S-pairs in signature $T$



## Signature-based criteria

## S-pairs in signature $T$



## Signature-based criteria

## S-pairs in signature $T$



## Special cases

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Revisiting our example with $\prec$ pot

$$
\left.\left.\begin{array}{l}
g_{1}=x y-z^{2} \\
g_{2}=y^{2}-z^{2}
\end{array}\right\} \Rightarrow \operatorname{spol}\left(g_{3}, g_{1}\right)\right)=x y z\left(g_{2}, g_{1}\right)=g_{1} e_{2}-g_{2} e_{1}=x y e_{2}+\ldots
$$

Modifications not specific to signature-based Gröbner basis algorithms


## \# zero reductions (Singular-4-0-0, $\mathbb{F}_{32003}$ )

| Benchmark | STD | SBA $\prec_{\text {pot }}$ | SBA $\prec_{\text {d-pot }}$ | SBA $\prec_{\mathrm{lt}}$ |
| :---: | :---: | :---: | :---: | :---: |
| cyclic-8 | 4,284 | 243 | 243 | 671 |
| cyclic-8-h | 5,843 | 243 | 243 | 671 |
| eco-11 | 3,476 | 0 | 749 | 749 |
| eco-11-h | 5,429 | 502 | 502 | 749 |
| katsura-11 | 3,933 | 0 | 0 | 348 |
| katsura-11-h | 3,933 | 0 | 0 | 348 |
| noon-9 | 25,508 | 0 | 0 | 682 |
| noon-9-h | 25,508 | 0 | 0 | 682 |
| Random(11,2,2) | 6,292 | 0 | 0 | 590 |
| HRandom(11,2,2) | 6,292 | 0 | 0 | 590 |
| Random(12,2,2) | 13,576 | 0 | 0 | 1,083 |
| HRandom(12,2,2) | 13,576 | 0 | 0 | 1,083 |

## Time in seconds (Singular-4-0-0, $\mathbb{F}_{32003}$ )

| Benchmark | STD | SBA $\prec_{\text {pot }}$ | SBA $\prec_{\text {d-pot }}$ | SBA $\prec_{\mathrm{lt}}$ |
| :---: | ---: | ---: | ---: | ---: |
| cyclic-8 | 32.480 | 44.310 | 100.780 | 38.120 |
| cyclic-8-h | 38.300 | 35.770 | 98.440 | 32.640 |
| eco-11 | 28.450 | 3.450 | 27.360 | 13.270 |
| eco-11-h | 20.630 | 11.600 | 14.840 | 7.960 |
| katsura-11 | 54.780 | 35.720 | 31.010 | 11.790 |
| katsura-11-h | 51.260 | 34.080 | 32.590 | 17.230 |
| noon-9 | 29.730 | 12.940 | 14.620 | 15.220 |
| noon-9-h | 34.410 | 17.850 | 20.090 | 20.510 |
| Random(11,2,2) | 267.810 | 77.430 | 130.400 | 28.640 |
| HRandom(11,2,2) | 22.970 | 14.060 | 39.320 | 3.540 |
| Random(12,2,2) | $2,069.890$ | 537.340 | $1,062.390$ | 176.920 |
| HRandom(12,2,2) | 172.910 | 112.420 | 331.680 | 22.060 |

## Can we combine both attempts?

Buchberger's Product and Chain criterion can be combined with the Rewrite criterion [29, 33, 11]:

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## Experimental results

Implementation done in Singular [9]

|  |  | Senchmark |  | STD |
| :---: | :---: | :---: | :---: | :---: |
| ZR | SBA $\prec_{\text {pot }}$ <br> ZR | SBA |  |  |
| ZR | ZR / PC |  |  |  |
| cyclic-8 | 4284 | 243 | 671 | $671 / 0$ |
| cyclic-8-h | 5843 | 243 | 671 | $671 / 0$ |
| eco-11 | 3476 | 0 | 749 | $749 / 0$ |
| eco-11-h | 5429 | 502 | 749 | $718 / 0$ |
| katsura-11 | 3933 | 0 | 348 | $304 / 0$ |
| katsura-11-h | 3933 | 0 | 348 | $304 / 0$ |
| noon-9 | 25508 | 0 | 682 | $646 / 0$ |
| noon-9-h | 25508 | 0 | 682 | $646 / 0$ |
| binomial-6-2 | 21 | 6 | 15 | $8 / 7$ |
| binomial-6-3 | 20 | 13 | 15 | $9 / 6$ |
| binomial-7-3 | 27 | 24 | 21 | $21 / 0$ |
| binomial-7-4 | 41 | 16 | 19 | $16 / 3$ |
| binomial-8-3 | 53 | 23 | 27 | $27 / 0$ |
| binomial-8-4 | 40 | 31 | 26 | $26 / 0$ |

## And what's about SBA using $\prec_{\text {pot }}$ ?

## Conjecture [11]

Every S-polynomial fulfilling the Product criterion is also detected by the Rewrite criterion in SBA using $\prec_{\text {pot }}$.

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- We checked several million examples, all fulfilling the conjecture.
- Until now we cannot prove this.


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## Conjecture [11]

Every S-polynomial fulfilling the Product criterion is also detected by the Rewrite criterion in SBA using $\prec_{\text {pot }}$.

We checked several million examples, all fulfilling the conjecture.

- Until now we cannot prove this.


## Ongoing work:

1. Describe in detail the connection between our conjecture and Moreno-Socías conjecture [36].
2. Try to exploit even more algebraic structures for predicting zero reductions.

## Predicting zero reductions

Fast linear algebra for computing Gröbner bases

## Buchberger's algorithm - revisited

Input: Ideal $I=\left\langle f_{1}, \ldots, f_{m}\right\rangle$
Output: Gröbner basis $G$ for I

1. $G \leftarrow \emptyset$
2. $G \leftarrow G \cup\left\{f_{i}\right\}$ for all $i \in\{1, \ldots, m\}$
3. Set $P \leftarrow\left\{\operatorname{spol}\left(f_{i}, f_{j}\right) \mid f_{i}, f_{j} \in G, i>j\right\}$
4. Choose $p \in P, P \leftarrow P \backslash\{p\}$
(a) If $p \xrightarrow{G} 0 \leadsto$ no new information Go on with the next element in $P$.
(b) If $p \xrightarrow{G} q \neq 0 \leadsto$ new information

Build new S-pair with $q$ and add them to $P$.
Add $q$ to $G$.
Go on with the next element in $P$.
5. When $P=\emptyset$ we are done and $G$ is a Gröbner basis for $I$.

## Faugère's F4 algorithm

Input: Ideal $I=\left\langle f_{1}, \ldots, f_{m}\right\rangle$ Output: Gröbner basis $G$ for I

1. $G \leftarrow \emptyset$
2. $G \leftarrow G \cup\left\{f_{i}\right\}$ for all $i \in\{1, \ldots, m\}$
3. Set $P \leftarrow\{(a f, b g) \mid f, g \in G\}$
4. $d \leftarrow 0$
5. while $P \neq \emptyset$ :

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3. Set $P \leftarrow\{(a f, b g) \mid f, g \in G\}$
4. $d \leftarrow 0$
5. while $P \neq 0$ :
(a) $d \leftarrow d+1$
(b) $P_{d} \leftarrow$ Select $(P), P \leftarrow P \backslash P_{d}$
(c) $L_{d} \leftarrow\left\{a f, b g \mid(a f, b g) \in P_{d}\right\}$
(d) $L_{d} \leftarrow$ Symbolic Preprocessing $\left(L_{d}, G\right)$
(e) $F_{d} \leftarrow$ Reduction $\left(L_{d}, G\right)$
(f) for $h \in F_{d}$ :

- If It $(h) \notin L(G)$ (all other $h$ are "useless"):
$\triangleright P \leftarrow P \cup\{$ new pairs with $h\}$
$\triangleright G \leftarrow G \cup\{h\}$

6. Return $G$

## Differences to Buchberger

1. Select a subset $P_{d}$ of $P$, not only one element.
2. Do a symbolic preprocessing: Search and store reducers, but do not reduce.
3. Do a full reduction of $P_{d}$ at once:

Reduce a subset of $\mathscr{R}$ by a subset of $\mathscr{R}$

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Reduce a subset of $\mathscr{R}$ by a subset of $\mathscr{R}$

If Select $(P)$ selects only 1 pair F4 is just Buchberger's algorithm.
Usually one chooses the normal selection strategy,
i.e. all pairs of lowest degree.

## Symbolic preprocessing

Input: $L, G$ finite subsets of $\mathscr{R}$
Output: a finite subset of $\mathscr{R}$

1. $F \leftarrow L$
2. $D \leftarrow L(F)$ (S-pairs already reduce lead terms)
3. while $T(F) \neq D$ :
(a) Choose $m \in T(F) \backslash D, D \leftarrow D \cup\{m\}$.
(b) If $m \in L(G) \Rightarrow \exists g \in G$ and $\lambda \in \mathscr{R}$ such that $\lambda$ It $(g)=m$ $\triangleright F \leftarrow F \cup\{\lambda g\}$
4. Return $F$

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We optimize this soon!

## Reduction

Input: $L, G$ finite subsets of $\mathscr{R}$
Output: a finite subset of $\mathscr{R}$

1. $M \leftarrow$ Macaulay matrix of $L$
2. $M \leftarrow$ Gaussian Elimination of $M$ (Linear algebra)
3. $F \leftarrow$ polynomials from rows of $M$
4. Return $F$

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Macaulay matrix
columns $\hat{=}$ monomials (sorted by monomial order $<$ )
rows $\hat{=}$ coeffs of polynomials in $L$

## Example: Cyclic-4

$\mathscr{R}=\mathbb{Q}[a, b, c, d],<$ denotes DRL and we use the normal selection strategy for Select $(P) . I=\left\langle f_{1}, \ldots, f_{4}\right\rangle$, where

$$
\begin{aligned}
& f_{1}=a b c d-1 \\
& f_{2}=a b c+a b d+a c d+b c d, \\
& f_{3}=a b+b c+a d+c d, \\
& f_{4}=a+b+c+d .
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We start with $G=\left\{f_{4}\right\}$ and $P_{1}=\left\{\left(f_{3}, b f_{4}\right)\right\}$, thus $L_{1}=\left\{f_{3}, b f_{4}\right\}$. Let us do symbolic preprocessing:

$$
\begin{aligned}
T\left(L_{1}\right) & =\left\{a b, b^{2}, b c, a d, b d, c d\right. \\
L_{1} & =\left\{f_{3}, b f_{4} \quad\right\}
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$b^{2} \notin L(G), b c \notin L(G), d \operatorname{lt}\left(f_{4}\right)=a d$,

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L_{1} & =\left\{f_{3}, b f_{4}, d f_{4}\right\}
\end{aligned}
$$

$b^{2} \notin L(G), b c \notin L(G), d \operatorname{lt}\left(f_{4}\right)=a d$, all others also $\notin L(G)$,

## Example: Cyclic-4

Now reduction:
Convert polynomial data $L_{1}$ to Macaulay Matrix $M_{1}$

$$
d f_{4}\left(\begin{array}{ccccccc}
a b & b^{2} & b c & a d & b d & c d & d^{2} \\
f_{3} \\
b f_{4}
\end{array}\left(\begin{array}{ccccccc}
0 & 0 & 1 & 1 & 1 & 1 \\
1 & 0 & 1 & 1 & 0 & 1 & 0 \\
1 & 1 & 1 & 0 & 1 & 0 & 0
\end{array}\right)\right.
$$

## Example: Cyclic-4

Now reduction:
Convert polynomial data $L_{1}$ to Macaulay Matrix $M_{1}$


Gaussian Elimination of $M_{1}$ :

$$
d f_{4}\left(\begin{array}{ccccccc}
a b & b^{2} & b c & a d & b d & c d & d^{2} \\
0 & 0 & 0 & 1 & 1 & 1 & 1 \\
1 & 0 & 1 & 0 & -1 & 0 & -1 \\
0 & 1 & 0 & 0 & 2 & 0 & 1
\end{array}\right)
$$

## Example: Cyclic-4

Convert matrix data back to polynomial structure $F_{1}$ :

$$
\begin{gathered}
d f_{4}\left(\begin{array}{ccccccc}
a b & b^{2} & b c & a d & b d & c d & d^{2} \\
0 & 0 & 0 & 1 & 1 & 1 & 1 \\
f_{3} \\
1 & 0 & 1 & 0 & -1 & 0 & -1 \\
0 & 1 & 0 & 0 & 2 & 0 & 1
\end{array}\right) \\
F_{1}=\{\underbrace{a d+b d+c d+d^{2}}_{f_{5}}, \underbrace{a b+b c-b d-d^{2}}_{f_{6}}, \underbrace{b^{2}+2 b d+d^{2}}_{f_{7}}\}
\end{gathered}
$$

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$$
\begin{gathered}
d f_{4}\left(\begin{array}{ccccccc}
a b & b^{2} & b c & a d & b d & c d & d^{2} \\
0 & 0 & 0 & 1 & 1 & 1 & 1 \\
f_{3} & 0 & 1 & 0 & -1 & 0 & -1 \\
0 & 1 & 0 & 0 & 2 & 0 & 1
\end{array}\right) \\
F_{1}=\{\underbrace{a d+b d+c d+d^{2}}_{f_{5}}, \underbrace{a b+b c-b d-d^{2}}_{f_{6}}, \underbrace{b^{2}+2 b d+d^{2}}_{f_{7}}\} \\
\operatorname{lt}\left(f_{5}\right), \operatorname{It}\left(f_{6}\right) \in L(G), \text { so } \\
\mathbf{G} \leftarrow \mathbf{G} \cup\left\{\mathbf{f}_{7}\right\} .
\end{gathered}
$$

## Example: Cyclic-4

Next round:

$$
G=\left\{f_{4}, f_{7}\right\}, P_{2}=\left\{\left(f_{2}, b c f_{4}\right)\right\}, L_{2}=\left\{f_{2}, b c f_{4}\right\} .
$$

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Next round:

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G=\left\{f_{4}, f_{7}\right\}, P_{2}=\left\{\left(f_{2}, b c f_{4}\right)\right\}, L_{2}=\left\{f_{2}, b c f_{4}\right\} .
$$

We can simplify the computations:

$$
\operatorname{It}\left(b c f_{4}\right)=a b c=\operatorname{It}\left(c f_{6}\right) .
$$

$f_{6}$ possibly better reduced than $f_{4}$. ( $f_{6}$ is not in $G!$ )

$$
\Longrightarrow L_{2}=\left\{f_{2}, c f_{6}\right\}
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Symbolic preprocessing:

$$
\begin{aligned}
T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}\right\} \\
L_{2} & =\left\{f_{2}, c f_{6}, \quad\right\}
\end{aligned}
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## Example: Cyclic-4

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T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}\right\} \\
L_{2} & =\left\{f_{2}, c f_{6}, \quad\right\}
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$$

$b c^{2} \notin L(G), a b d=\operatorname{It}\left(b d f_{4}\right)$, but also $a b d=\operatorname{It}\left(b f_{5}\right)!$

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$b c^{2} \notin L(G), a b d=\operatorname{It}\left(b d f_{4}\right)$, but also $a b d=\operatorname{It}\left(b f_{5}\right)!$

Let us investigate this in more detail.

## Interlude - Simplify

## Idea

Try to replace $u \cdot f$ by a product $(w v) \cdot g$ where $v g$ corresponds to an already computed row in the Gauss. Elim. of a previous matrix $M_{i}$.
$\Rightarrow$ Reuse rows that are reduced but not "in" G.

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Input: monomial $u$, polynomial $f$, list $\mathscr{F}$ of old $F_{i}$ (from $M_{i}$ after Gauss. Elim.) Output: product $v \cdot g$ replacing $u \cdot f$

1. $d \leftarrow$ current index in the F4 algorithm
2. $D(u) \leftarrow\{$ list of divisors of $u\}$
3. for $w \in D(u)$
(a) if $\exists j \in\{1, \ldots, d-1\}$ such that $w \cdot f$ corresponds to row in $M_{j}$
$\triangleright \exists_{1} g \in F_{j}$ such that $\operatorname{lt}(g)=\operatorname{lt}(w \cdot f)$
$\triangleright$ if $w \neq u$ : Return Simplify $\left(\frac{u}{w}, g, \mathscr{F}\right)$ (recursive call)
$\triangleright$ else: Return $1 \cdot g$
4. else: Return $u \cdot f$

## Interlude - Simplify

## Note

- Tries to reuse all rows from old matrices.
$\Rightarrow$ We need to keep them in memory.
- We also simplify generators of S-pairs, as we have done in our example: $\left(f_{2}, b c f_{4}\right) \Longrightarrow\left(f_{2}, c f_{6}\right)$.
- One can also choose "better" reducers by other properties, not only "last reduced one".
- Without Simplify the F4 algorithm is rather slow.


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- Without Simplify the F4 algorithm is rather slow.

In our example:
Choose $b f_{5}$ as reducer, not $b d f_{4}$.

## Example: Cyclic-4

Symbolic preprocessing - now with simplify:

$$
\left.\begin{array}{rl}
T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}\right. \\
L_{2} & =\left\{f_{2}, c f_{6}\right.
\end{array}\right\}
$$

$$
b c^{2} \notin L(G),
$$

## Example: Cyclic-4

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\end{aligned}
$$

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Symbolic preprocessing - now with simplify:

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\begin{aligned}
T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}, b^{2} d, c^{2} d\right\} \\
L_{2} & =\left\{f_{2}, c f_{6}, b f_{5} \quad\right\} \\
b c^{2} \notin L(G), a b d & =\mathrm{It}\left(b f_{5}\right),
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$$

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Symbolic preprocessing - now with simplify:

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T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}, b^{2} d, c^{2} d, \ldots\right\} \\
L_{2} & =\left\{f_{2}, c f_{6}, b f_{5}, c f_{5}, d f_{7}\right\} \\
b c^{2} \notin L(G), a b d & =\operatorname{It}\left(b f_{5}\right), \text { and so on. }
\end{aligned}
$$

## Example: Cyclic-4

Symbolic preprocessing - now with simplify:

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T\left(L_{2}\right) & =\left\{a b c, b c^{2}, a b d, a c d, b c d, c d^{2}, b^{2} d, c^{2} d, \ldots\right\} \\
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b c^{2} \notin L(G), a b d & =\text { It }\left(b f_{5}\right), \text { and so on. }
\end{aligned}
$$

Now try to exploit the special structure of the Macaulay matrices.

## Improve Gaussian Elimination

Use Linear Algebra for reduction steps in GB computations.

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$$
\begin{array}{lllllll}
1 & 3 & 0 & 0 & 7 & 1 & 0 \\
1 & 0 & 4 & 1 & 0 & 0 & 5 \\
0 & 1 & 6 & 0 & 8 & 0 & 1 \\
0 & 5 & 0 & 0 & 0 & 2 & 0 \\
0 & 0 & 0 & 0 & 1 & 3 & 1
\end{array}
$$

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$$

Knowledge of underlying GB structure

## Improve Gaussian Elimination

Use Linear Algebra for reduction steps in GB computations.
S-pair $\underset{\text { s-pair }}{\text { reducer }}\left\{\begin{array}{lllllll}1 & 3 & 0 & 0 & 7 & 1 & 0 \\ 1 & 0 & 4 & 1 & 0 & 0 & 5\end{array}\right.$

Knowledge of underlying GB structure

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S-pair $\underset{\text { s-pair }}{\text { reducer }}\left\{\begin{array}{lllllll}1 & 3 & 0 & 0 & 7 & 1 & 0 \\ 1 & 0 & 4 & 1 & 0 & 0 & 5\end{array}\right.$

Knowledge of underlying GB structure

## Improve Gaussian Elimination

Use Linear Algebra for reduction steps in GB computations.

S-pair $\underset{\text { s-pair }}{\text { reducer }}$\begin{tabular}{llllllll}
1 \& 3 \& 0 \& 0 \& 7 \& 1 \& 0 <br>
1 \& 0 \& 4 \& 1 \& 0 \& 0 \& 5

$|$

0 \& 1 \& 6 \& 0 \& 8 \& 0 \& 1 <br>
0 \& 5 \& 0 \& 0 \& 0 \& 2 \& 0 <br>
0 \& 0 \& 0 \& 0 \& 1 \& 3 \& 1
\end{tabular}

Knowledge of underlying GB structure

Idea
Do a static reordering before the Gaussian Elimination to achieve a better initial shape. Reorder afterwards.

## Faugère-Lachartre Idea

1st step: Sort pivot and non-pivot columns

$$
\begin{array}{lllllll}
1 & 3 & 0 & 0 & 7 & 1 & 0 \\
1 & 0 & 4 & 1 & 0 & 0 & 5 \\
0 & 1 & 6 & 0 & 8 & 0 & 1 \\
0 & 5 & 0 & 0 & 0 & 2 & 0 \\
0 & 0 & 0 & 0 & 1 & 3 & 1
\end{array}
$$

## Faugère-Lachartre Idea

1st step: Sort pivot and non-pivot columns


Pivot column

## Faugère-Lachartre Idea

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Pivot column

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## Faugère-Lachartre Idea

1st step: Sort pivot and non-pivot columns


## Faugère-Lachartre Idea

2nd step: Sort pivot and non-pivot rows

$$
\begin{array}{lll:llll}
1 & 3 & 7 & 0 & 0 & 1 & 0 \\
1 & 0 & 0 & 4 & 1 & 0 & 5 \\
0 & 1 & 8 & 6 & 0 & 0 & 9 \\
0 & 5 & 0 & 0 & 0 & 2 & 0 \\
0 & 0 & 1 & 0 & 0 & 3 & 1
\end{array}
$$

## Faugère-Lachartre Idea

2nd step: Sort pivot and non-pivot rows


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2nd step: Sort pivot and non-pivot rows


## Faugère-Lachartre Idea

3rd step: Reduce lower left part to zero


## Faugère-Lachartre Idea

3rd step: Reduce lower left part to zero


## Faugère-Lachartre Idea

4th step: Reduce lower right part

| 1 | 0 | 0 | 4 | 1 | 0 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 5 | 0 | 0 | 0 | 2 | 0 |
| 0 | 0 | 1 | 0 | 0 | 3 | 1 |
| $\cdots$ | 0 | 0 | 7 | 10 | 10 | 3 | $10 ..$.

## Faugère-Lachartre Idea

4th step: Reduce lower right part

| 1 | 0 | 0 | 4 | 1 | 0 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 5 | 0 | 0 | 0 | 2 | 0 |
| 0 | 0 | 1 | 0 | 0 | 3 | 1 |
| 0 | 0 | 0 | 7 | 10 | 3 | 10 |
| 0 | 0 | 0 | 6 | 0 | 2 | 1 |


$\longrightarrow$| 1 | 0 | 0 | 4 | 1 | 0 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 5 | 0 | 0 | 0 | 2 | 0 |
| 0 | 0 | 1 | 0 | 0 | 3 | 1 |
| $\cdots \cdots \cdots \cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ |  |  |
| 0 | 0 | 0 | 7 | 10 | 3 | 10 |
| 0 | 0 | 0 | 0 | 4 | 1 | 5 |

## Faugère-Lachartre Idea

4th step: Reduce lower right part


5th step: Remap columns of lower right part

## How our matrices look like

Some data about the matrix:

- $F_{4}$ computation of homogeneous KATSURA-12, degree 6 matrix


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- Size 137 MB
- 24,006,869 nonzero elements (density: 5\%)
- Dimensions:

| full matrix: | $21,182 \times 22,207$ |  |  |
| :--- | ---: | :--- | ---: |
| upper-left: | 17,915 | $\times$ | 17,915 |
| lower-left: | 3,267 | $\times$ | 17,915 |
| upper-right: | 17,915 | $\times$ | 4,292 |
| lower-right: | 3,267 | $\times$ | 4,292 |

How our matrices look like (2)


How our matrices look like (3)


Hybrid Matrix Multiplication $A^{-1} B$


Hybrid Matrix Multiplication $A^{-1} B$


## Reduce C to zero



## Gaussian Elimination on D



## New information



## First attempts

2010 - UPMC Paris 6, INRIA PolSys Team
Jean-Charles Faugère \& Sylvain Lachartre - FL

2011 - University of Kaiserslautern Bradford Hovinen - LELA
https://github.com/Singular/LELA

2012 - UPMC Paris 6, INRIA PolSys Team
Fayssal Martani - new implementation in LELA
https://github.com/martani/LELA

2012-2013 - University of Kaiserslautern Bjarke Hammersholt Roune - MathicGB https://github.com/broune/mathicgb

> 2012-2014 - University of Passau

Severin Neumann - parallelGBC
https://github.com/svrnm/parallelGBC

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